

# The Patent Foramen Ovale A Preventable Stroke Etiology ? !

**Brian Whisenant, M.D.**

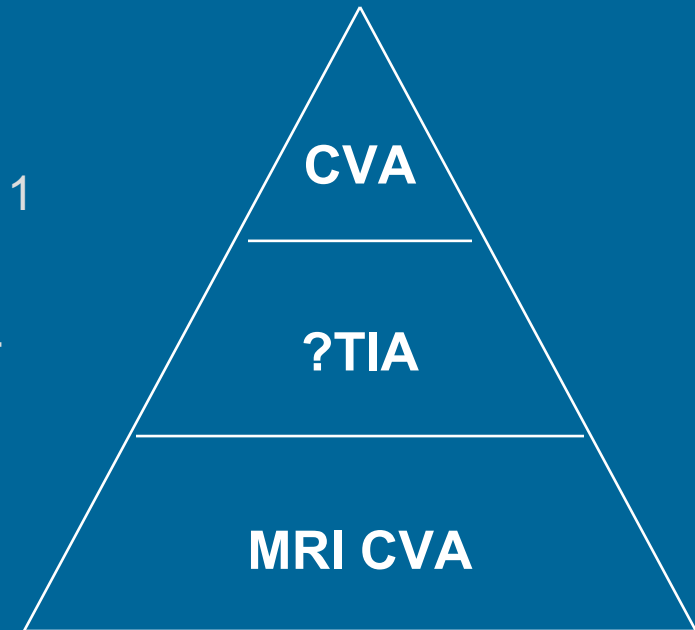
# Conflict of Interest Statement

I have a financial interest in Coherex Medical.

# Heart Disease and Stroke Statistics 2008 Update: A Report From the American Heart Association Statistics Committee and Stroke Statistics Subcommittee

Circulation 117; January 29, 2008

- 2.7% of men and 2.5% of women >18 years of age have a history of stroke.
- 17.8% of the population over 45 years of age reported at least 1 stroke symptom.
- The prevalence of silent cerebral infarction between 55 and 64 years of age is approximately 11% and increases to 43% above 85 years.



**PFO Prevalence:**  
**>20% general population**  
**>40% cryptogenic stroke population**

Common Disorders intersecting create the perfect storm.

# All or None Polarization

“ . . . our results offer no justification for the use of potentially dangerous or aggressive treatments. Hence, although this was not one of the objectives of our study, we are led to conclude that invasive treatments such as percutaneous PFO occlusion should be performed only within the framework of a current clinical trial.”

Serena, et al. *Stroke*. 2008 Sep 25. [Epub ahead of print]

## **Asymptomatic Significant Patent Foramen Ovale: Giving Patent Foramen Ovale Management Back to the Cardiologist**

Gianluca Rigatelli,<sup>1\*</sup> MD, Paolo Cardaioli,<sup>1</sup> MD, and Mauro Chinaglia,<sup>2</sup> MD

*Catheterization and Cardiovascular Interventions* 71:573–576 (2008)

## **Closure of the Patent Foramen Ovale With Dedicated Amplatzer Occluders: Closing in on a Mechanical Vaccination**

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Cardiovascular Department  
University Hospital  
Bern, Switzerland

# Where are we today?

- Randomized Trials of PFO closure in Stroke and Migraine are ongoing (Closure I completed enrollment last week).
- Many patients referred for PFO consultation do not meet entry criteria for randomized trials.
- Physicians and patients often prefer off-label closure with ASD indicated devices.
- There is little prospective data regarding PFO closure complications and success.
- While PFO closure is technically straightforward, counseling patients regarding PFO closure is challenging.

# The PFO Cardiologist?



“We just load the trucks.”

No Truck  
Leaves Empty

Defer to  
Neurology

Cardiologists must be prepared to discuss complex decisions with intimate knowledge of the PFO space.

# Does PFO Closure Prevent Strokes Beyond All or None

## Cryptogenic Stroke

< 55 yo

> 55 yo

## Stroke with suspected alternate etiology

## Silent Stroke (MRI)

## Transient Ischemic Attack

## Systemic Embolism

- MI

- Organs (spleen, bowel)

- Extremities

## Venous Thrombus

- DVT

- SVT

- UE thrombus

- PE

## Procoagulant Disorders

## Central venous foreign body

- permanent pacemakers

- chronic indwelling catheters

## PFO Characteristics

- Atrial Septal Aneurysm

- High Flow/Low Flow TCD

## Pre-Operative (Liver Transplant, Complex Orthopedic)

## Hypoxia (OSA, platypnea orthodeoxia)

## Migraine

- migrainous infarction

- non visual aura (TIA-like)

- WMLs MRI



## Cheerleader Camp

Anecdotal Observations  
Prevalence Data  
Retrospective Comparisons  
Device Safety  
Common Sense

## Cynical Corner

Observations of Cardiologists  
Population Observations  
Lack of Data  
Device Complications  
Common Sense



# Transcatheter Closure vs Medical Therapy PFO and Presumed Paradoxical Thromboemboli

10 Transcatheter Closures Studies

1355 Patients

6 Medical Management Studies

895 Patients

RNTE @ 1 Year

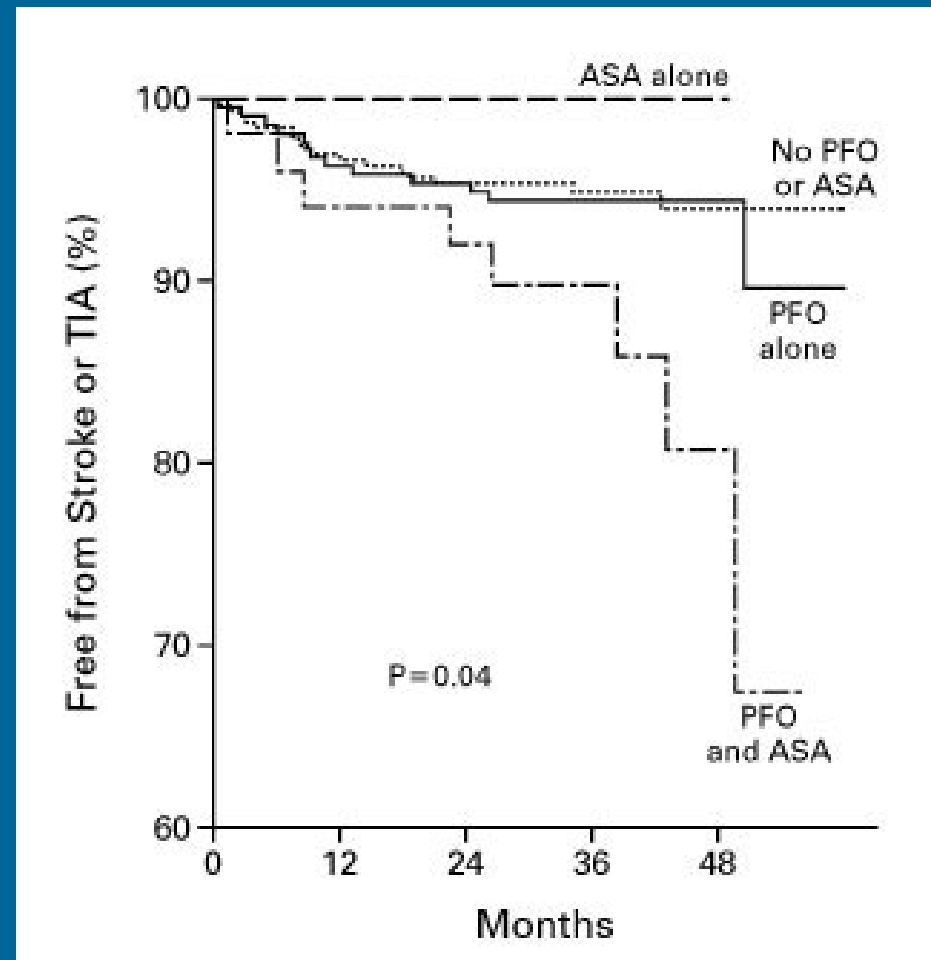
0 - 4.9%



3.8% - 12%

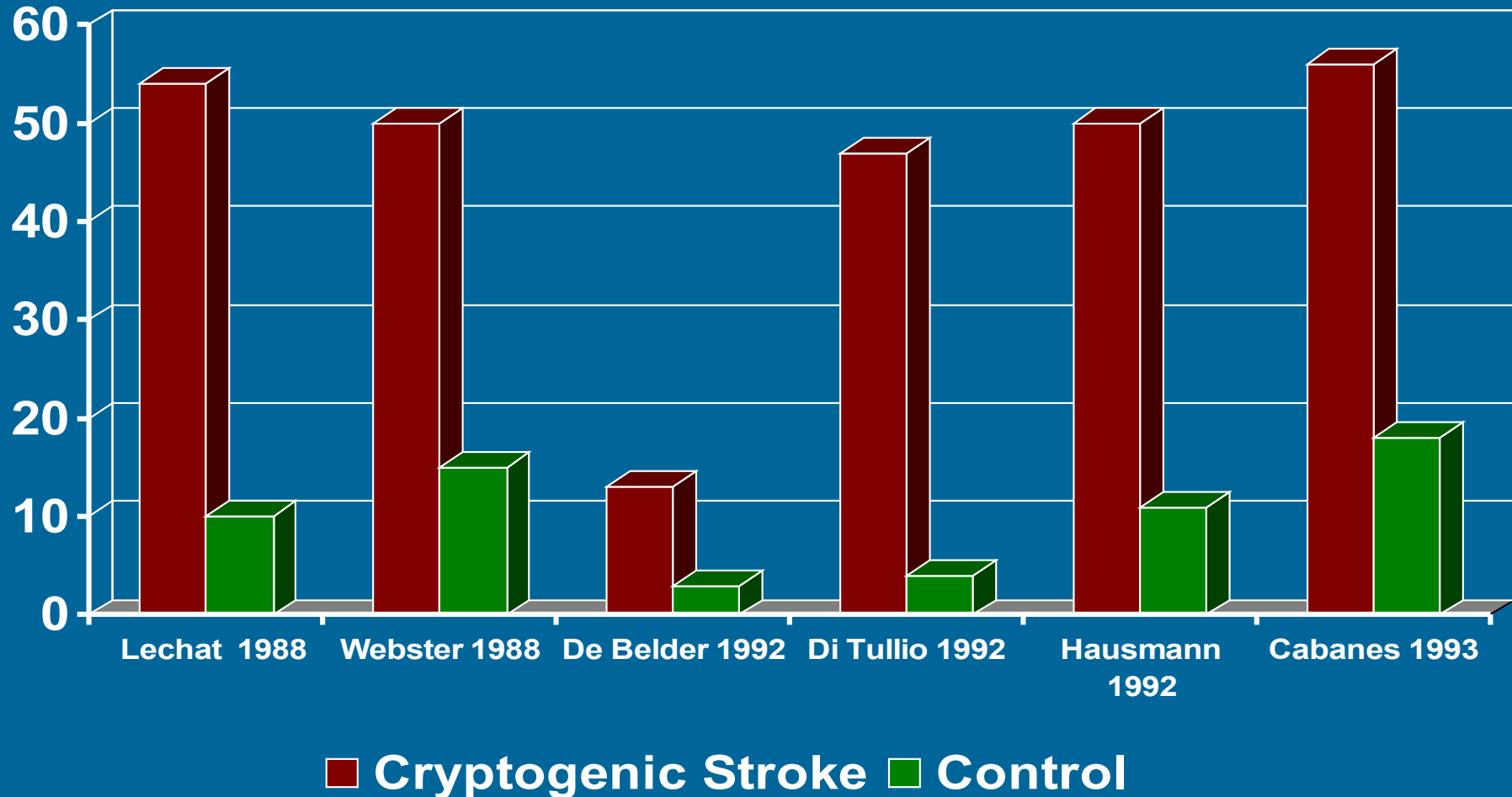
# Recurrent Cerebrovascular Events Associated with PFO, Atrial Septal Aneurysm, or Both

- 581 patients with cryptogenic CVA
- ASA 300 mg/day
- 4 year F/U

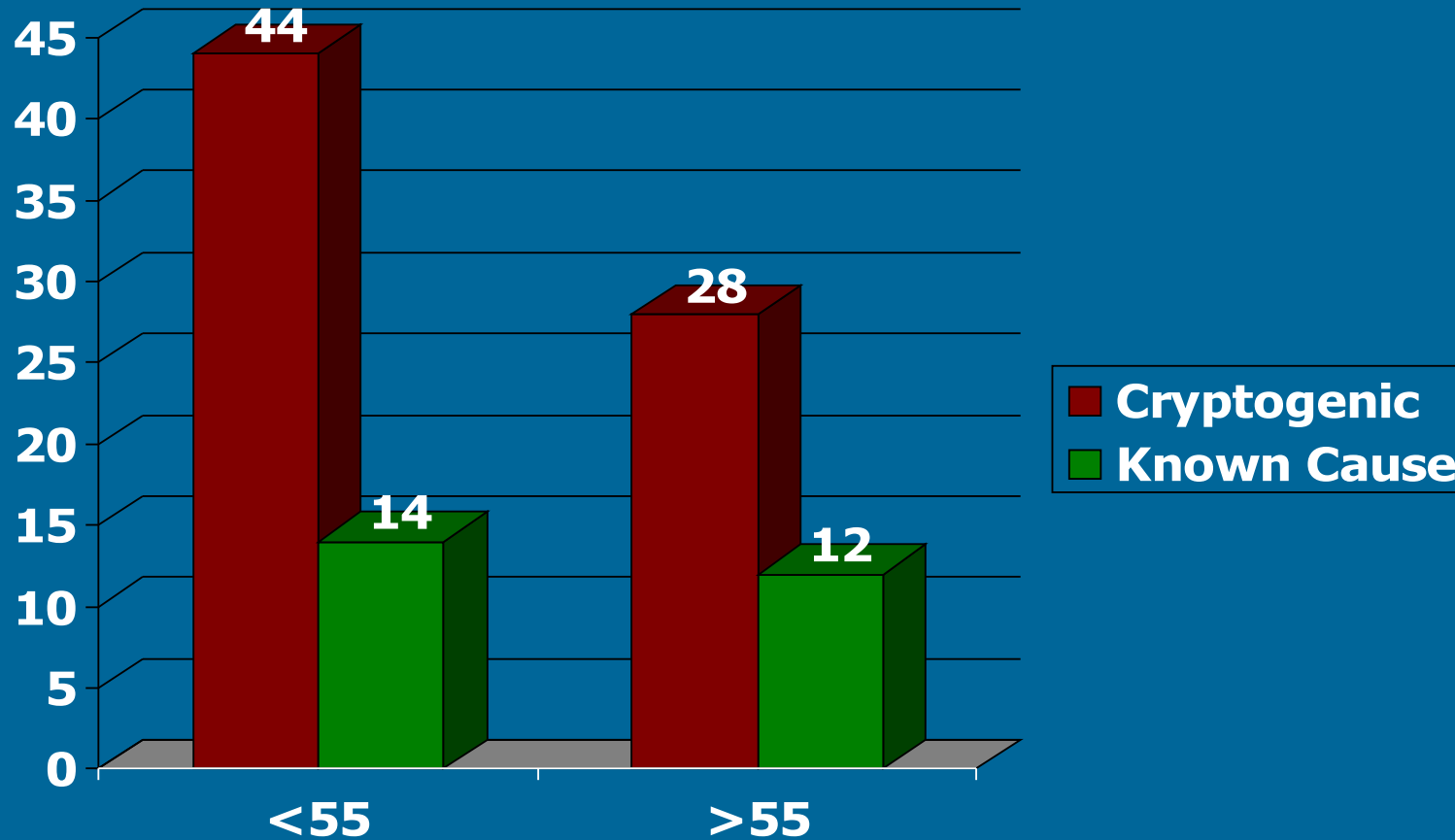


Mas, et al. NEJM Dec 13, 2001

# Prevalence of PFO in Patients With "Cryptogenic" Stroke



# Patent Foramen Ovale and Older Cryptogenic Stroke Patients



N Engl J Med 2007;357:2262-8.

Force M et al., Clin Neurol Neurosurg. 2008 Jun 3.

# *Cum Hoc, Ergo Propter Hoc* Association $\neq$ Causation

PFO prevalence is associated with:

- cryptogenic stroke
- migraine with aura, chronic migraine
- sleep apnea
- chronic fatigue syndrome

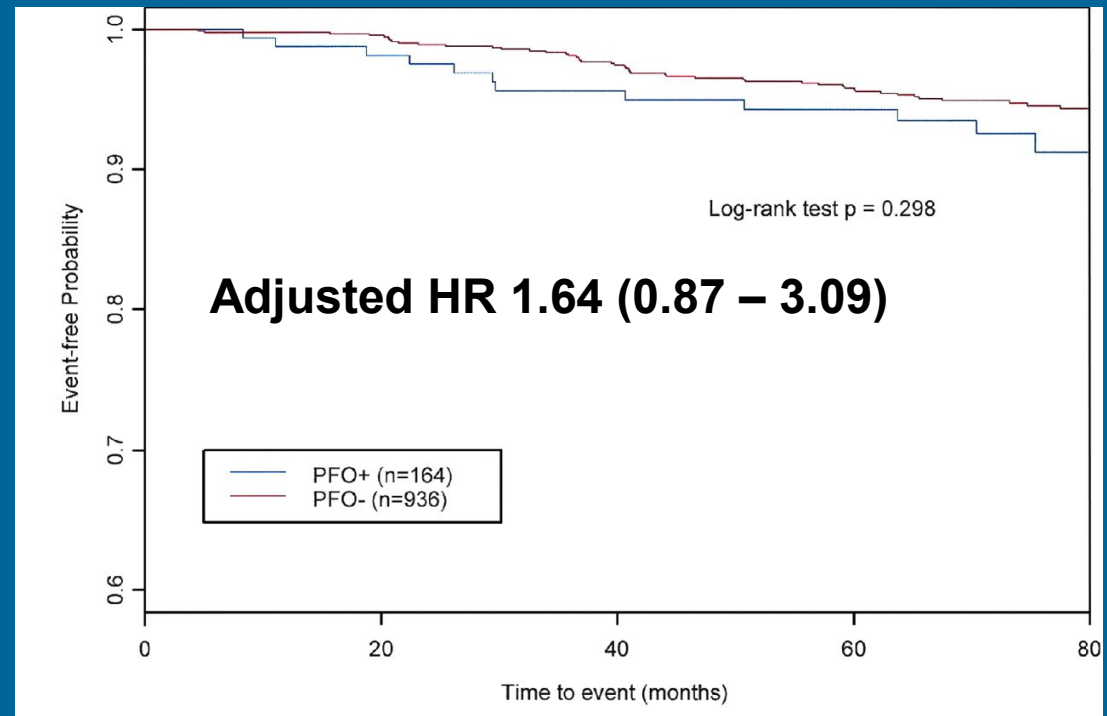
Association intuitively, but not necessarily indicates:

- 1) contribution to disease process
- 2) likelihood of impacting treatment with intervention

# PFO and the risk of ischemic stroke in a multiethnic population.

Contrast TTE  
N= 1,100

PFO 164 (15%)



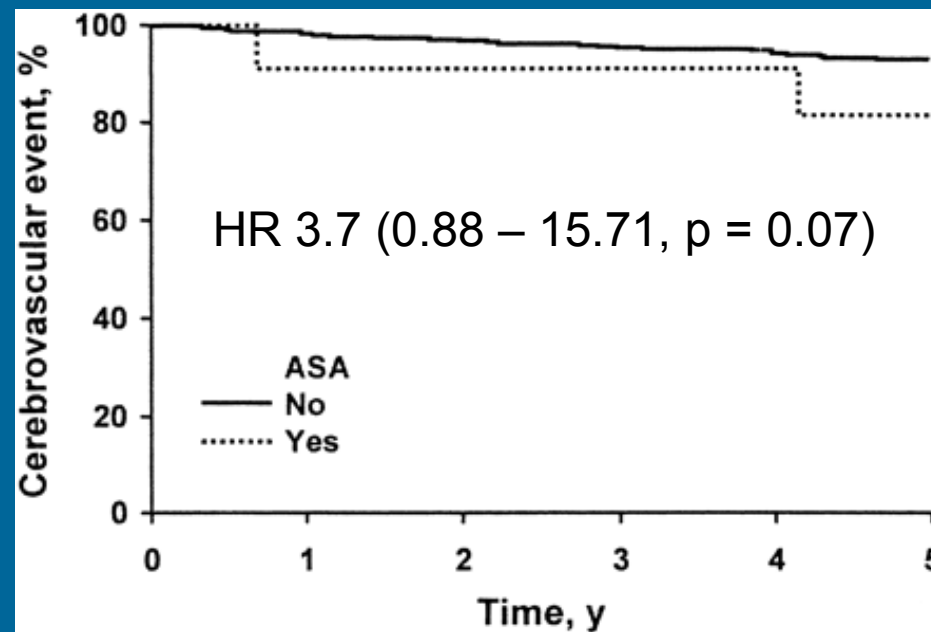
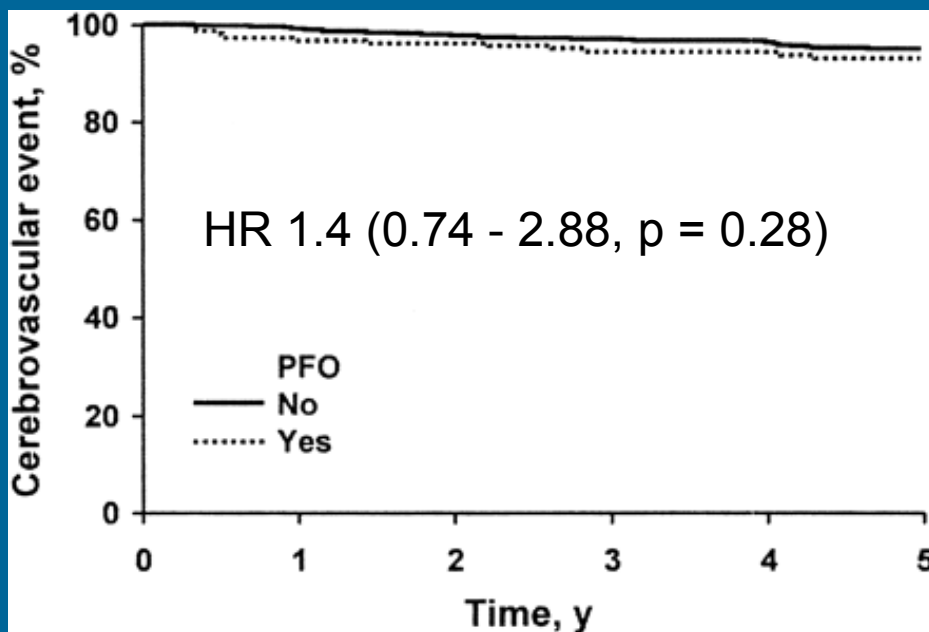
Although our data suggest that the absolute risk of stroke from a PFO in the general population is low, the search may still be on for individual subjects in whom PFO carries an increased risk. . .

# Patent Foramen Ovale: Innocent or Guilty?

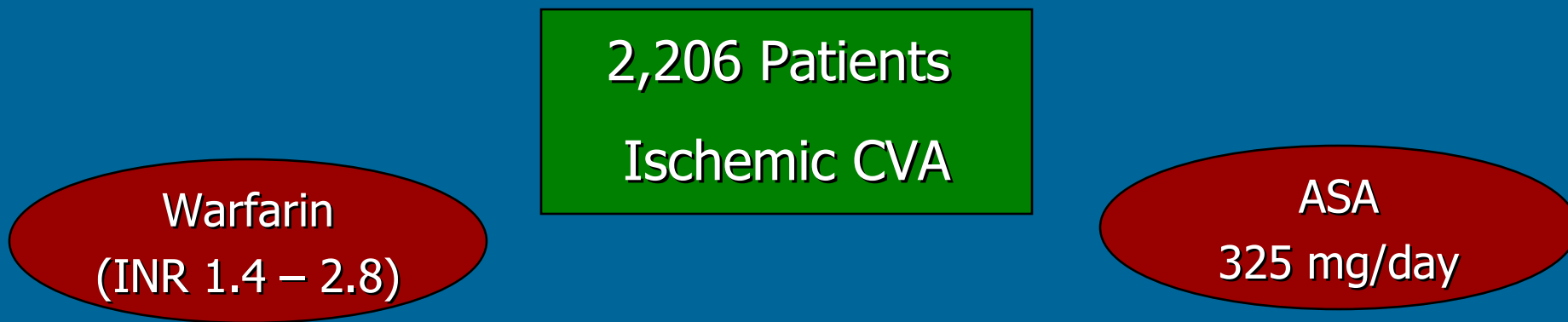
## Evidence From a Prospective Population-Based Study

Contrast TEE  
N = 585  
PFO – 140 (24%)      No PFO - 437

Atrial Septal Aneurysm  
N = 11



## Warfarin-Aspirin Recurrent Stroke Study Group



Death or Recurrent CVA at 2 Years


	Warfarin	ASA	p
Total (2206)	17.8%	16.0%	0.25
Cryptogenic CVA (576)	15.0%	16.5%	0.68



# Effect of Medical Treatment in Stroke Patients with Patent Foramen Ovale

601 WARSS Patients evaluated by TEE

	Cryptogenic CVA (250)	CVA etiology suspected (351)	P
PFO	39% (98)	30% (105)	<0.02



	Aspirin	Warfarin	P
Stroke or Death %	17.9	8.5	NS

# Recurrent Stroke and Massive (TCD 4 or 5) Right-to-Left Shunt. CODICIA Group

Cryptogenic CVA

N=486

	R-L shunt	TCD 4/5
Total (486)	61.1%	41.2%
Age <55 (229)	70.7%	51.5%

Antiplatelet 79%

F/U 729 +/- 411 Days

Warfarin 21%

Recurrent CVA = 28 (5.8%)

## Recurrent Stroke and Massive (TCD 4 or 5) Right-to-Left Shunt.

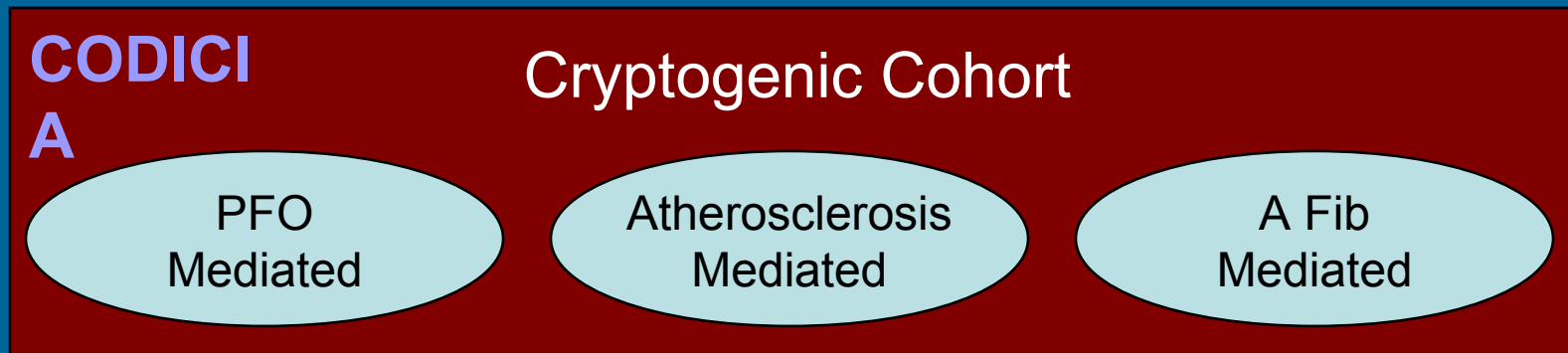
### Recurrent Stroke (%)

	TCD 4/5	TCD 1/2	TCD 0	p
Total	5.0	6.2	4.5	0.58
Age <55	3.4	2.3	4.5	0.75

“Because the risk of stroke recurrence was low and no significant differences were found between the use of antiplatelet and anticoagulant agents, our results offer no justification for the use of potentially dangerous or aggressive treatments. Hence, although this was not one of the objectives of our study, we are led to conclude that invasive treatments such as percutaneous PFO occlusion should be performed only within the framework of a current clinical trial.”

# Half Empty or Half Full?

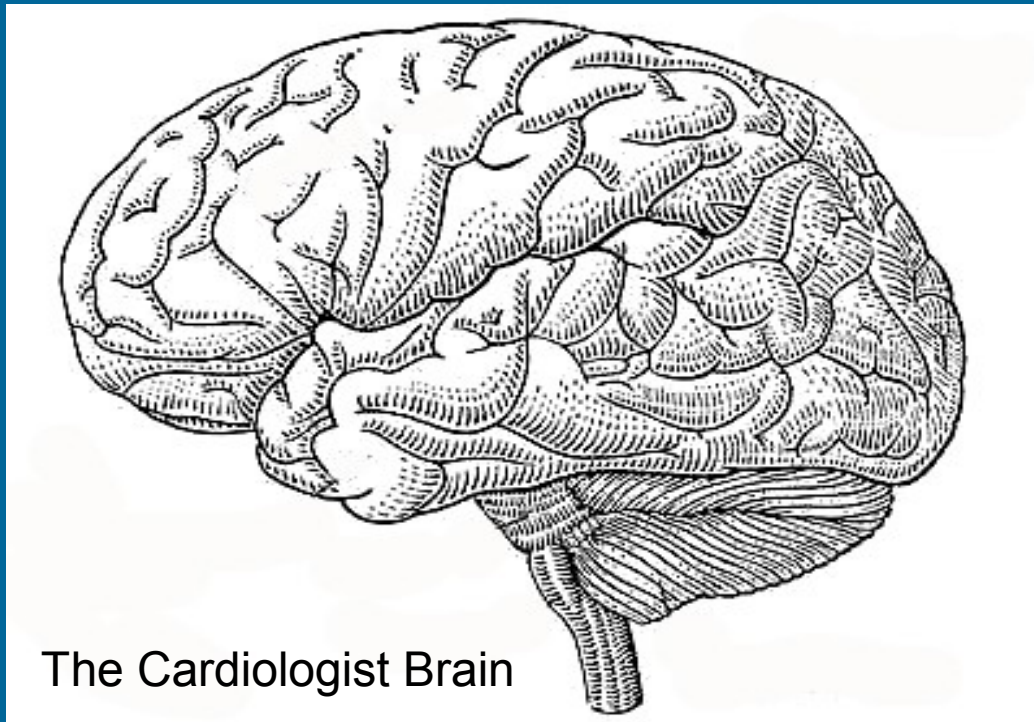
- PFO → CVA Adjusted HR 1.64, 1.4, 3.7 unselected population is provocative
- PICSS 2 year stroke or death: 18% aspirin, 9% warfarin is unacceptable & demands PFO closure



Recurrent Stroke = 5.8% in all patients

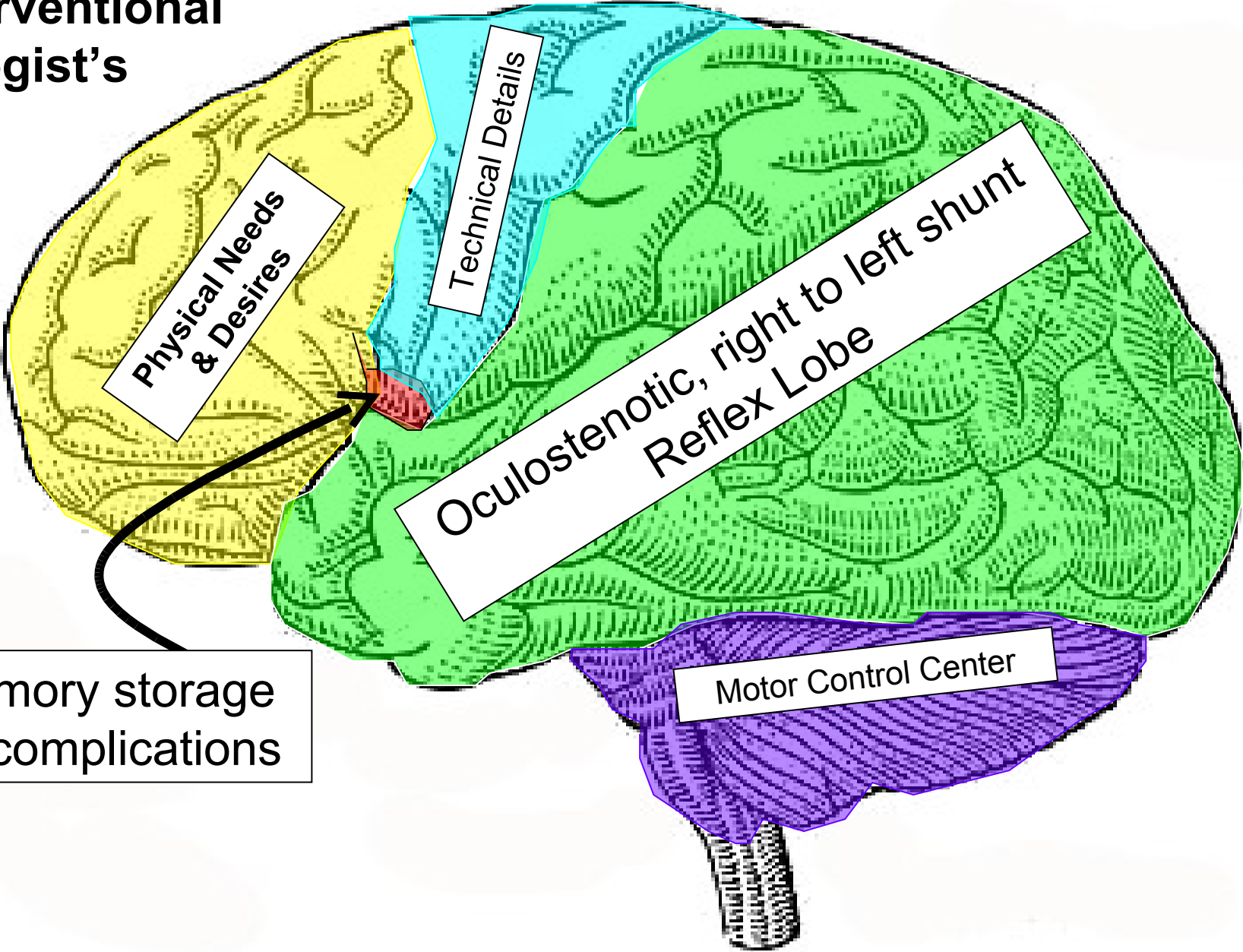
Treat HTN, DM, AF, Tob ?PFO

- PFO mediated stroke signals are ubiquitous.
- If there were no PFOs, there would be fewer strokes.
- PFO Closure complications are minimal.
- “PFO Vaccination” ready for prime time?

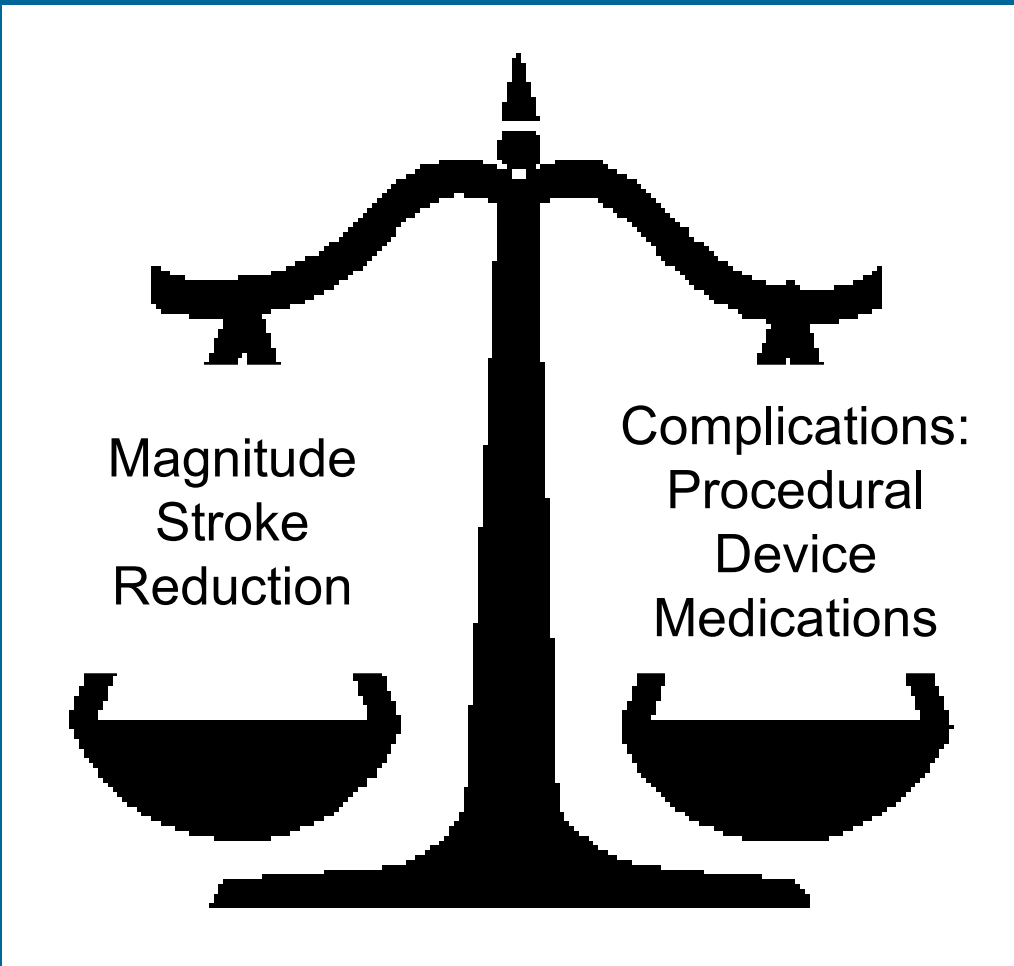


# The Interventional Cardiologist's Brain

memory storage  
for complications



# Stroke Trials



Enrolled population

Operator experience &  
procedural complications

Device performance

# MIST

## Migraine Intervention with StarFlex Technology Adverse Events

<b>Event</b>	<b>Study Arm</b>	<b>Adjudicated Relationship to Device, Procedure, or Medications</b>
<b>A Fib with aberrant conduction</b>	<b>Implant</b>	<b>Possible Device</b>
<b>Tamponade</b>	<b>Implant</b>	<b>Procedure</b>
<b>Pericardial Effusion</b>	<b>Implant</b>	<b>Procedure</b>
<b>Retroperitoneal Bleed</b>	<b>Implant</b>	<b>Procedure</b>
<b>Chest Pain</b>	<b>Implant</b>	<b>Possible Device</b>
<b>A Fib</b>	<b>Implant</b>	<b>Possible Device</b>
<b>Chest Pain</b>	<b>Implant</b>	<b>Possible Device, Procedure, Meds</b>
<b>Stroke</b>	<b>Sham</b>	<b>None</b>
<b>Mennorrhagia/Anemia</b>	<b>Sham</b>	<b>Possible Meds</b>
<b>Groin Ooze</b>	<b>Sham</b>	<b>Procedure/Possible Meds</b>



# Comparison of Three PFO Closure Devices in a Randomized Trial

	Amplatzer (N=220)	Helex (N=220)	CardioSeal/ StarFlex (N=220)
Device Embolization		3	
Hemopericardium		1	
Tamponade & Surgical Explant	1		
Device Thrombus			8
Atrial Fibrillation	3	2	11
30 Day Closure	65%	53%	62%

# Recurrent Focal Neurologic Events after Transcatheter PFO Closure

216 Patients  
CardioSeal Closure

Retrospective Review

Focal Neurologic Events – 20/216, 3.4%/year  
CVA – 4 (2 likely device related)  
TIA – 10 (etiology undetermined)

# Ongoing PFO Stroke Trials

Trial	Respect	Closure I	Reduce
N	500+	900	664
Device (Company)	Amplatzer (AGA)	StarFlex (NMT)	Helex (Gore)
Inclusion	Stroke	Stroke or TIA	Stroke or MRI TIA
Primary Endpoint	Stroke	Stroke or TIA	Stroke or MRI TIA
Key Secondary Endpoints	? Migraine	? Migraine	MRI WMLs

Different Populations, Devices, Endpoints  
Essential to Building a Body of Evidence  
Translated Results Cannot be Assumed.

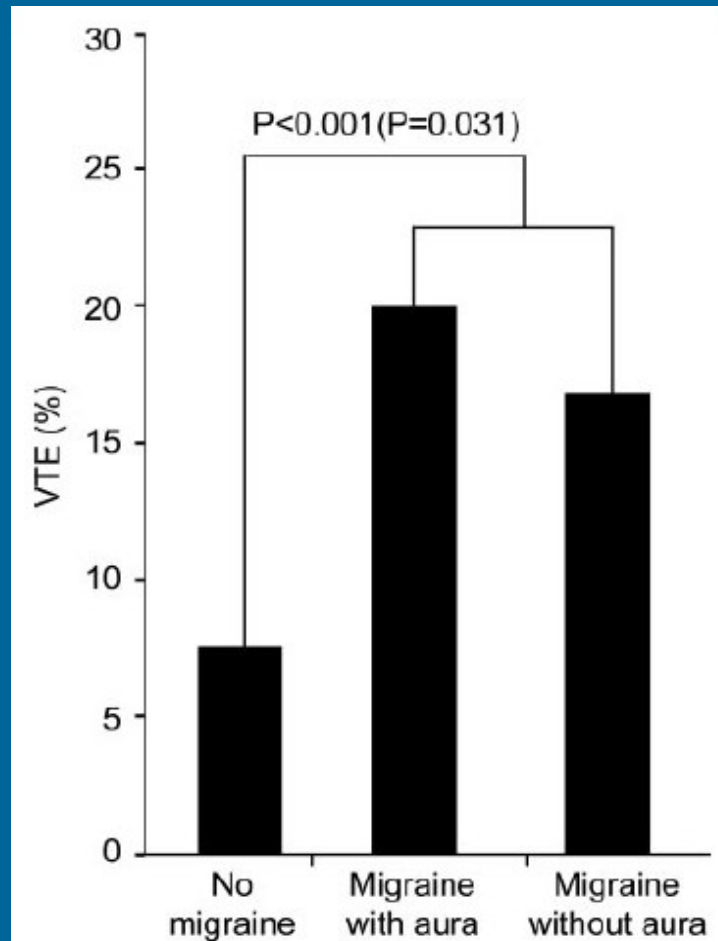
# Risk of Ischaemic Stroke in People with Migraine: A Meta-Analysis

	Relative Risk
Migraine (any)	2.16
Migraine with aura	2.88
Migraine without aura	1.83
Migraine among women < 45 yrs	2.76
Migraine + oral contraceptives	8.72

Etminan, et al. BMJ January 8, 2005.

Becker, et al. Headache Nov/Dec 2007.

# Burden of Atherosclerosis and Risk of Venous Thromboembolism in Patients with Migraine



Schwaiger, et al. Neurology 2008;71:937-943.

# Migraine and Subclinical Brain Lesions.

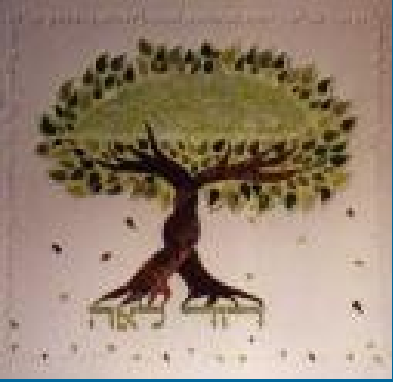
	Control N=140	Migraine no aura N=134	Migraine with aura N=161
Posterior Circulation Infarct	1 (0.7%)	3 (2.2%)	13 (8.1%)

Kruit, et al., JAMA 2004 Jan 28

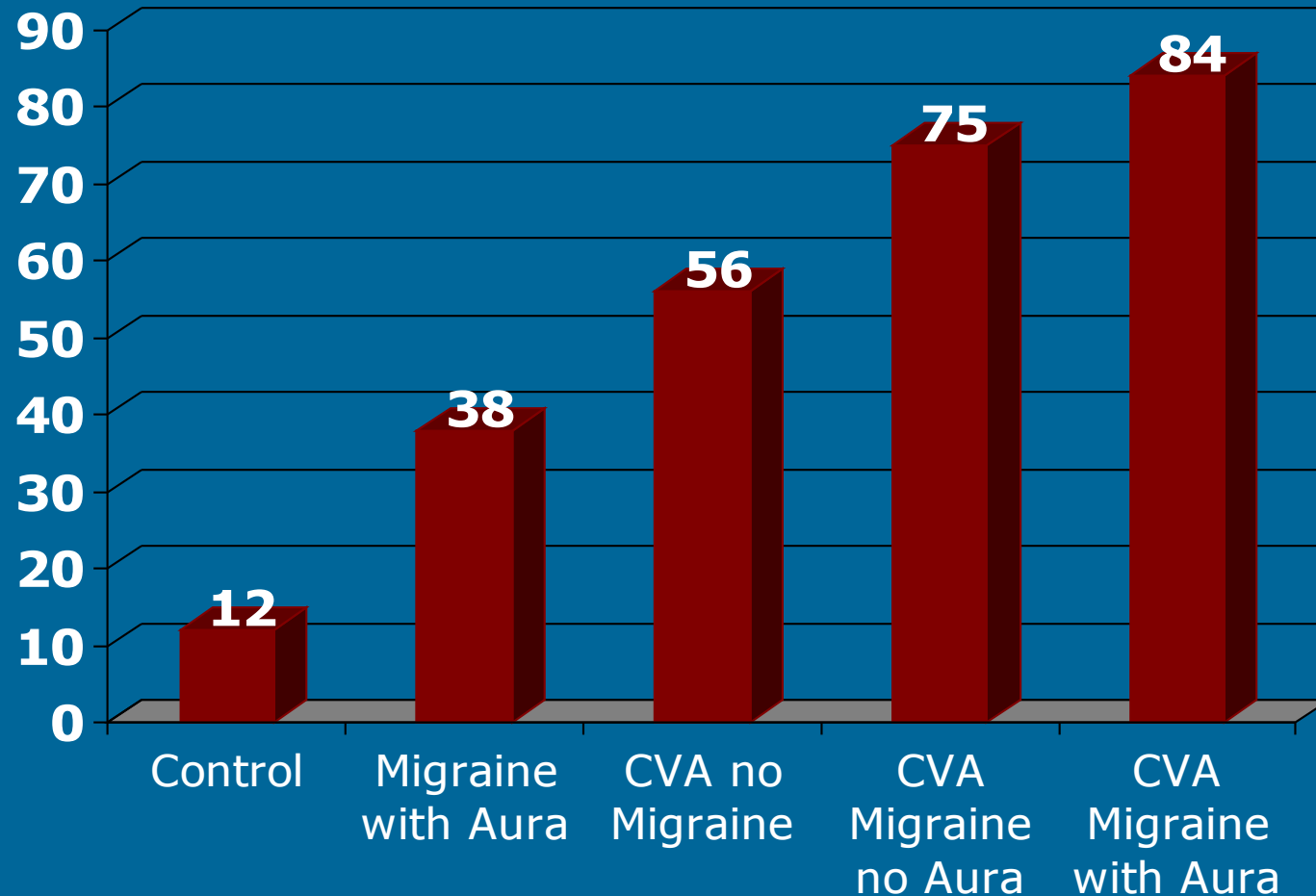
~50% of migraineurs have MRI white matter lesions  
Independent of right to left shunt

Del Sette et al. Cephalalgia, 2008. **28**(4): p. 376-82.

Adami et al. Neurology. 2008 Jul 8;71(2):101-7.

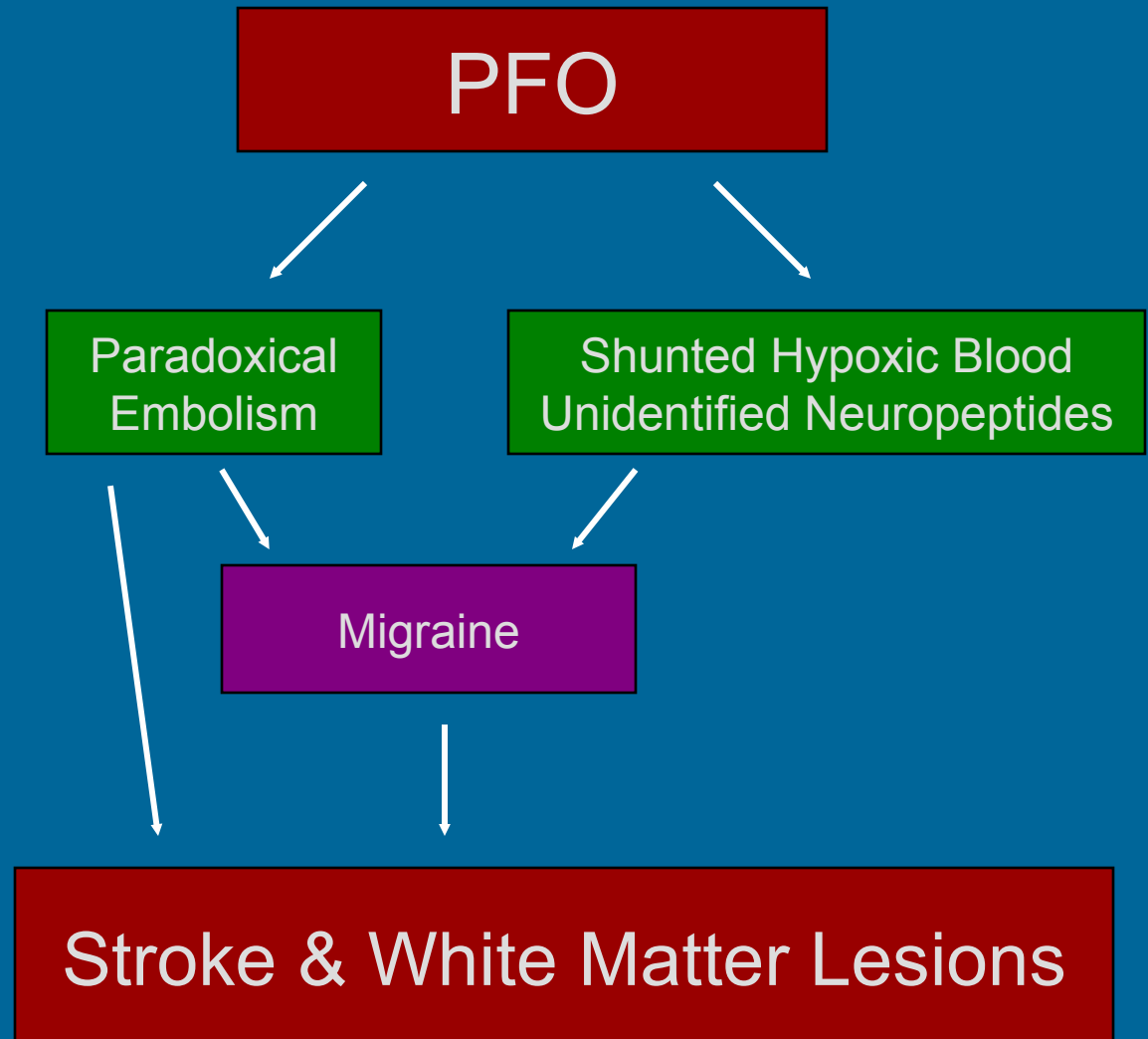


# PFO Prevalence: Compounded with CVA + Migraine



# Hypotheses

- 1) Migraine and stroke are associated without causation (PFO causes both).
  - 2) PFO closure diminishes migraine induced brain pathology.
- Assumes:
- Migraine causes brain pathology
  - PFO closure diminishes migraines





# Ongoing, Struggling Research Regarding PFO Closure of Migraine Patients will Provide Little Insight Regarding Stroke Prevention

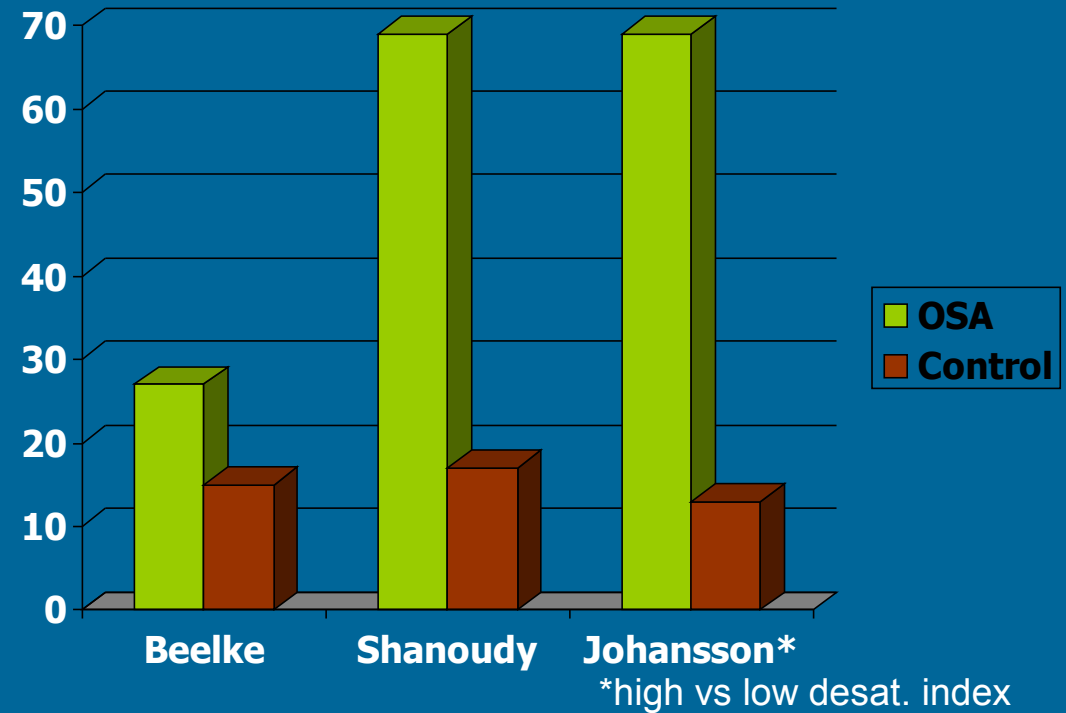
	<b>Premium</b>	<b>Mist II</b>	<b>Escape</b>
Device (Company)	Amplatzer (AGA)	Biostar (NMT)	Premere (St. Jude)
Inclusion	Refractory To Medications	Refractory To Medications	Refractory To Medications
Exclusion	Stroke TIA	Stroke TIA	Stroke TIA
Endpoint	50% reduction Headache Days	50% reduction Headache Days	50% reduction Headache Days

- High risk patients are excluded.

# Will closing PFOs in OSA patients prevent strokes?

- Sleep apnea is associated with an increased risk of stroke.
  - Valham et al. *Circulation*. 2008;118:955-960.
- PFO closure may be associated with diminished sleep hypoxia.
  - Agnoletti et al. Obstructive sleep apnea and patent foramen ovale: successful treatment of symptoms by percutaneous foramen ovale closure. *J Interv Cardiol*. 2007;18:393-5.
  - Silver et al. Improvement in Sleep Apnea Associated With Closure of a Patent Foramen Ovale. *J Clin Sleep Med* 2007;3:295-296.

Prevalence of PFOs in OSA



- Beelke et al. *Sleep Medicine* 2003;4:219-223.
- Shanoudy et al. *Chest*. 1998;113:91-96.
- Johansson et al. *Eur Respir J* 2007;29:149-155.

# Conclusions I

- Closure I, Respect, and Reduce will be scrutinized regarding
  - Closure efficacy
  - Device and procedural related complications
  - Characteristics of enrolled population
- Results of ongoing trials (+ or -) cannot be translated to alternate devices given device specific efficacy and safety profiles.

## Conclusions II

- Physicians must respect patients' rights to direct their care.
- PFO consultation should include discussion regarding:
  - Observational population data
  - Ongoing randomized trials
  - Lack of FDA indication for PFO closure
  - Lack of prospective complication and closure data
  - MIST complications
- Randomization should be encouraged when inclusion criteria are met.

# Conclusions III

Additional research is indicated beyond ongoing randomized trials.

- Appropriately powered high risk population studies
  - Atrial septal aneurysm
  - Thrombotic risk (PE, DVT, SVT, procoagulant disorders, chronic indwelling catheter, pacemaker)
- PFO closure in high risk migraine population (nonvisual aura, WMLs, thrombotic risk)
- PFO closure in sleep hypoxia

## Conclusions IV

Cardiologists participating in PFO closure require a fund of neurology knowledge.

- industry support
- cardiology meetings