

# Pharmacologic Agents to Prevent Stroke in Non-Valvular Atrial Fibrillation and PFO

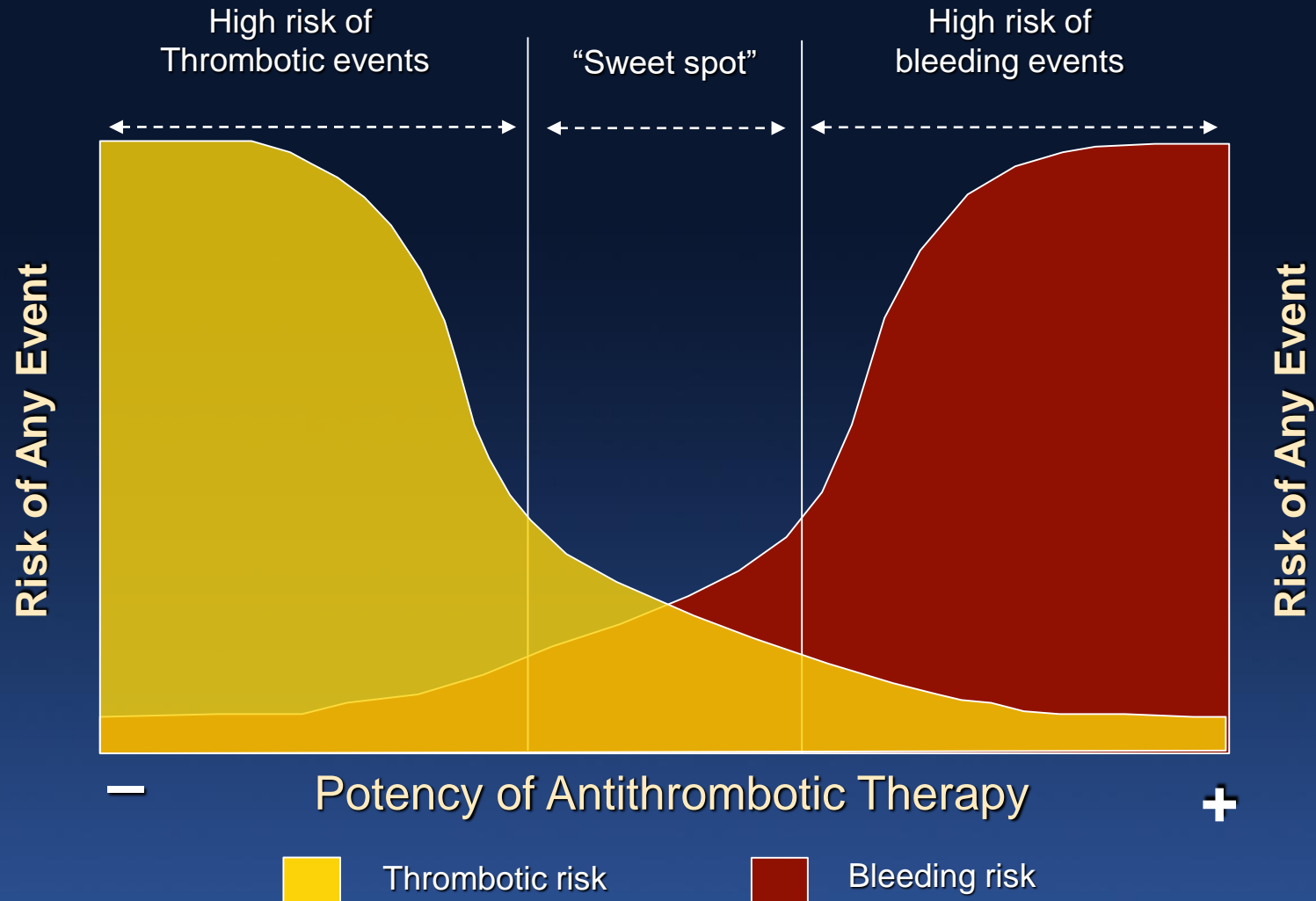
**Gregg W. Stone, MD**

Columbia University Medical Center  
The Cardiovascular Research Foundation

# Disclosures

- None

# Anticoagulation: Balancing Risks



Adapted from: Ferreiro JL et al. Thromb Haemost. 2010;103:1-8

Non-valvular atrial fibrillation  
**Warfarin vs. Antiplatelet Rx**

# Stroke Prevention in NVAF

## 6 Randomized Trials of Warfarin vs. Placebo

AFASAK-1 (n=671)

SPAF (n=421)

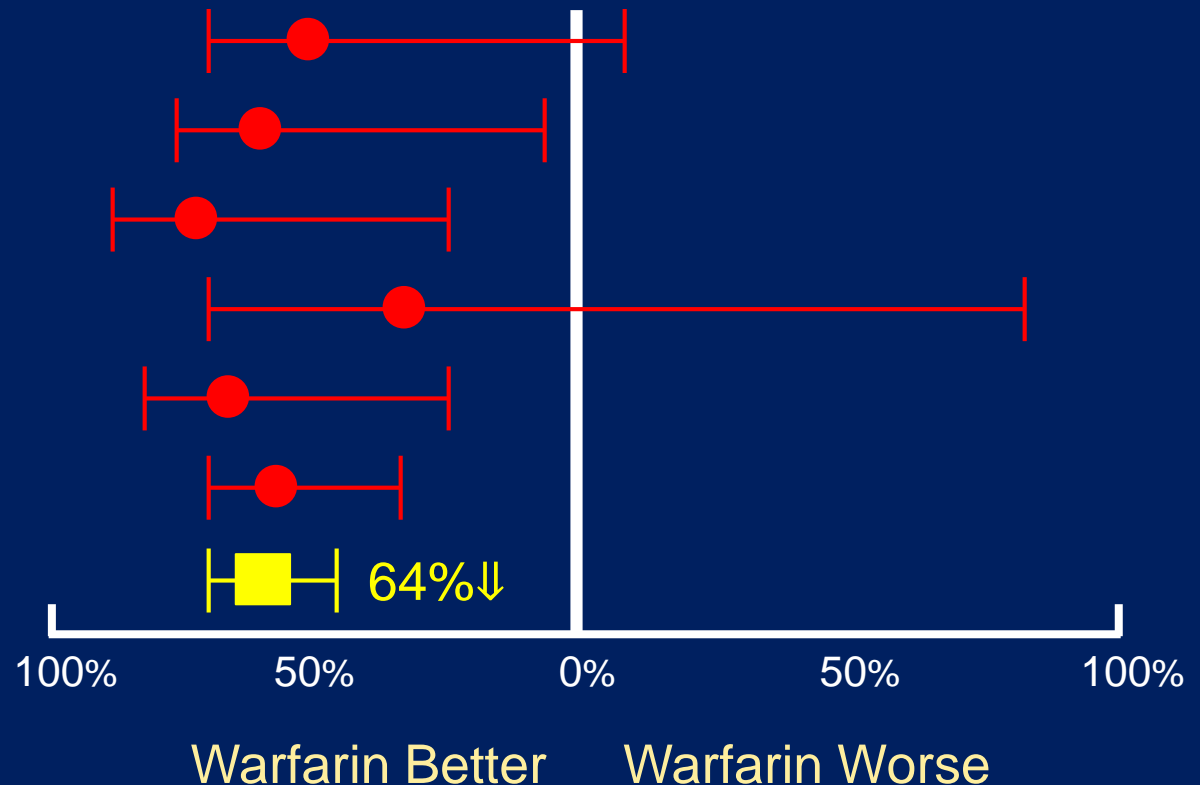
BAATAF (n=420)

CAFA (n=378)

SPINAF (n=571)

EAFT (n=439)

All Trials (n=2900)



# Meta-analysis of antiplatelet agents and warfarin in NVAF: **Stroke**

29 RCTs with 28,044 pts, including:

Warfarin vs placebo or no treatment: 6 RCTs, 2,900 pts

Antiplatelet agents vs placebo or no treatment : 8 RCTs, 4,876 pts

Warfarin vs antiplatelet agents: 12 RCTs, 12,963 pts

Comparison	All-cause stroke			
	A) rate/yr	B) rate/yr	RRR (95%CI)	Absolute ↓/yr
Warfarin vs. placebo or no treatment	2.2%	6.0%	64% (49 to 74)	1° prev: 2.7 2° prev: 8.4
Aspirin vs. placebo	6.9%	8.8%	22% (2 to 39)	1° prev: 1.9 2° prev: 2.5
Aspirin vs. no treatment	5.2%	6.3%	19% (-1 to 35)	1° prev: 0.8 2° prev: 2.5
Warfarin vs. antiplatelet agents	2.1%	3.5%	39% (22 to 52)	1° prev: 0.9 2° prev: -

# Meta-analysis of antiplatelet agents and warfarin in NVAf: **Mortality**

29 RCTs with 28,044 pts, including:

Warfarin vs placebo or no treatment: 6 RCTs, 2,900 pts

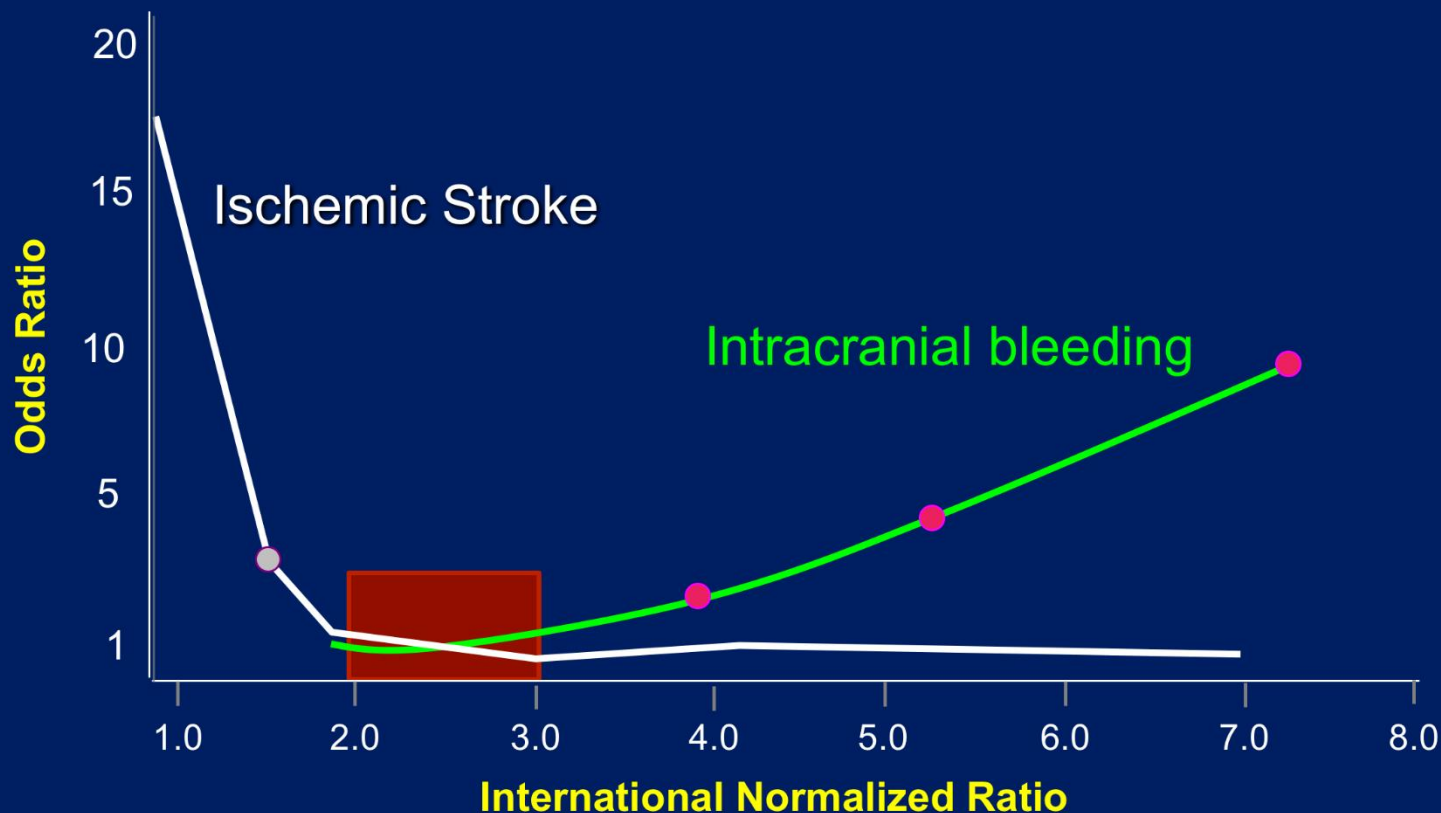
Antiplatelet agents vs placebo or no treatment : 8 RCTs, 4,876 pts

Warfarin vs antiplatelet agents: 12 RCTs, 12,963 pts

Comparison	Mortality			
	A) # deaths	B) # deaths	RRR (95%CI)	Absolute ↓/yr
Warfarin vs. placebo or no treatment (6 trials, 2900 pts)	110	143	26% (3 to 43)	1.6%
Aspirin vs. placebo (5 trials, 3762 pts)	184	204	14% (-7 to 31)	0.5%
Warfarin vs. aspirin (8 trials, 3647 pts)	117	128	9% (-19 to 30)	0.5%

# Limitations of Warfarin

1. Lowest risk of stroke and bleeding is achieved by maximizing the time in the optimum therapeutic range (TTR), with an INR of 2.0 – 3.0





# Limitations of Warfarin

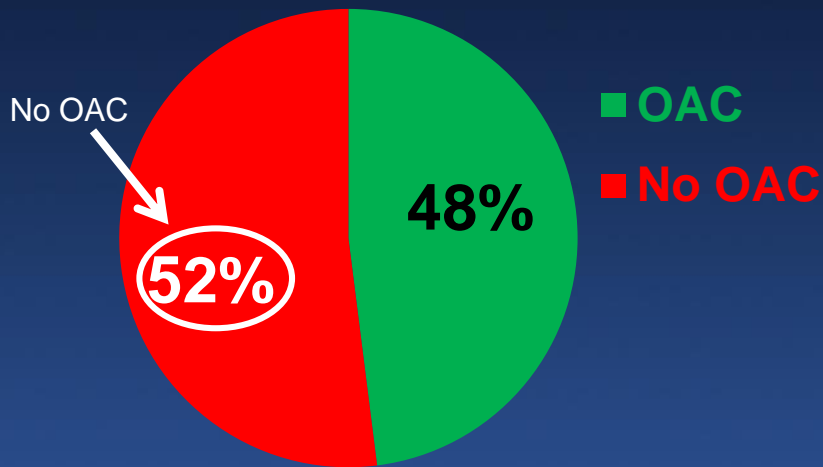
1. Lowest risk of stroke and bleeding is achieved by maximizing the time in the optimum therapeutic range (TTR), with an INR of 2.0 – 3.0
  - There are large variations in TTR between individuals, sites, and countries, which affects patient outcomes
2. Genetic variability in metabolism (VKORC1 and CYP2C9)
3. Multiple interactions with foods and drugs
  - Requires regular lab-guided dose adjustments
4. Delayed onset and offset
5. Rates of bleeding and discontinuation are high

# “Shocking Level” of OAC Undertreatment in AF Patients at High Risk for Stroke

## US PINNACLE Registry (N=429,417 outpts with AF\*)

\*Treated by cardiovascular specialists

Most AF patients at high risk of stroke do not receive OAC therapy!



“HCPs may be more reluctant to prescribe anticoagulation in sicker patients due to concerns regarding bleeding risk.”

- >2000 strokes/year could have been prevented if OAC therapy was used

Non-valvular atrial fibrillation  
**NOACs vs. Warfarin**

# New Agents for Atrial Fibrillation

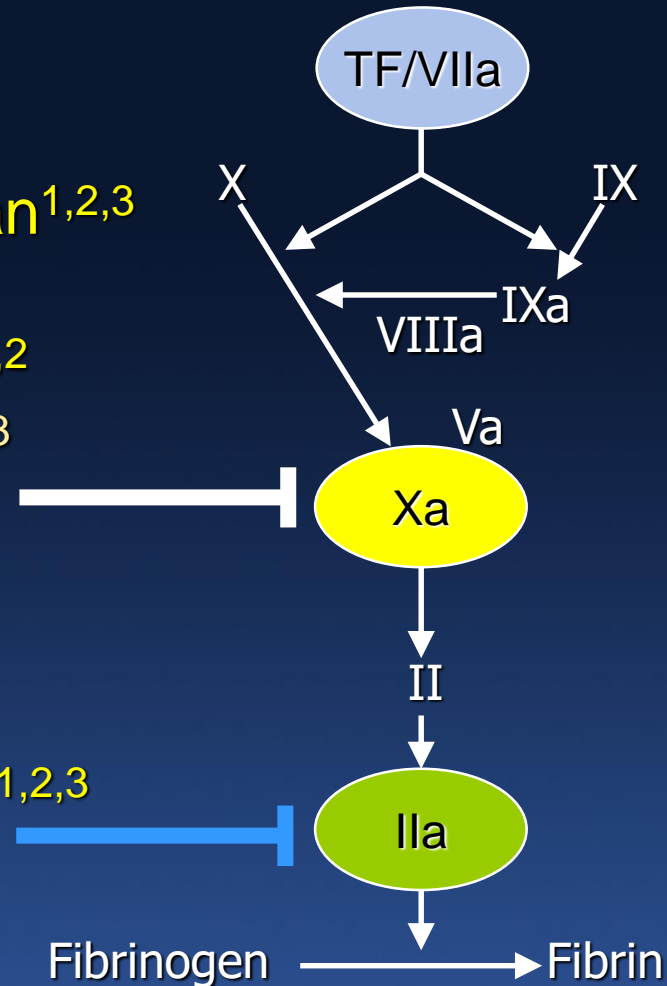
## Oral direct inhibitors

### Xa inhibitors

Rivaroxaban<sup>1,2,3</sup>  
 Apixaban<sup>1</sup>  
 Edoxaban<sup>1,2</sup>  
 Betrixaban<sup>3</sup>  
 Darexaban  
 Letaxaban

### IIa inhibitors

Dabigatran<sup>1,2,3</sup>  
 AZD 0837



<sup>1</sup>Approved for stroke prevention in NVAF

<sup>2</sup>Approved for VTE treatment

<sup>3</sup>Approved for VTE prophylaxis

# Characteristics of New Oral Anticoagulants

Drug	Dabigatran	Rivaroxaban	Apixaban	Edoxaban
<b>Mechanism</b>	Thrombin inhibitor	Factor Xa inhibitor	Factor Xa inhibitor	Factor Xa inhibitor
<b>T<sub>1/2</sub></b>	12-17 hrs	5-9 hrs (young) 11-13 hrs (old)	9-14 hrs	10-14 hrs
<b>Regimen</b>	BID	QD, BID	BID	QD
<b>Peak to trough</b>	2	12 (QD)	3-5	~3
<b>Renal excretion</b>	80%	35%	27%	50%
<b>Potential for drug interactions</b>	P-GP inhibitor	P-GP inhibitor and CYP3A4 substrate	P-GP inhibitor and CYP3A4 substrate	P-GP inhibitor; min CYP3A4 substrate

P-GP = P-glycoprotein (interactions with digoxin, verapamil, diltiazem, quinidine, amiodarone, dronedarone, atorvastatin, erythromycin, etc.)

# Pivotal Warfarin and NOAC Trials of Stroke Prevention in NVAF

Warfarin vs. Placebo

2,900 patients

NOACs vs. Warfarin

71,683 patients

6 Trial of Warfarin vs. Placebo  
1989-1993

ROCKET AF  
(Rivaroxaban)  
2010

ENGAGE AF-TIMI 48  
(Edoxaban)  
2013

RE-LY  
(Dabigatran)  
2009

ARISTOTLE  
(Apixaban)  
2011

# New Oral Anticoagulants

## Phase III AF Trials

	RE-LY (n=18,113)	ROCKET-AF (n=14,264)	ARISTOTLE (n=18,201)	ENGAGE AF-TIMI 48 (n=21,105)
Drug	Dabigatran	Rivaroxaban	Apixaban	Edoxaban
Dose (mg)	150, 110	20 (15*)	5 (2.5*)	60, 30 (30*, 15*)
Frequency	BID	QD	BID	QD
N	18,113	14,266	18,206	21,105
Design	Open-label <sup>†</sup>	Double-blind	Double-blind	Double-blind
AF criteria	AF x 1 <6 mos	AF x 2 (≥1 in <30d)	AF or AFI x 2 <12 mos	AF x 1 <12 mos
VKA naive	50%	38%	43%	41%
Follow-up (yrs)	2.0	1.9	1.8	2.8

\*In pts with ↓drug clearance; †dabi dose concealed, but no sham INR monitoring

# New Oral Anticoagulants

## Phase III AF Trials

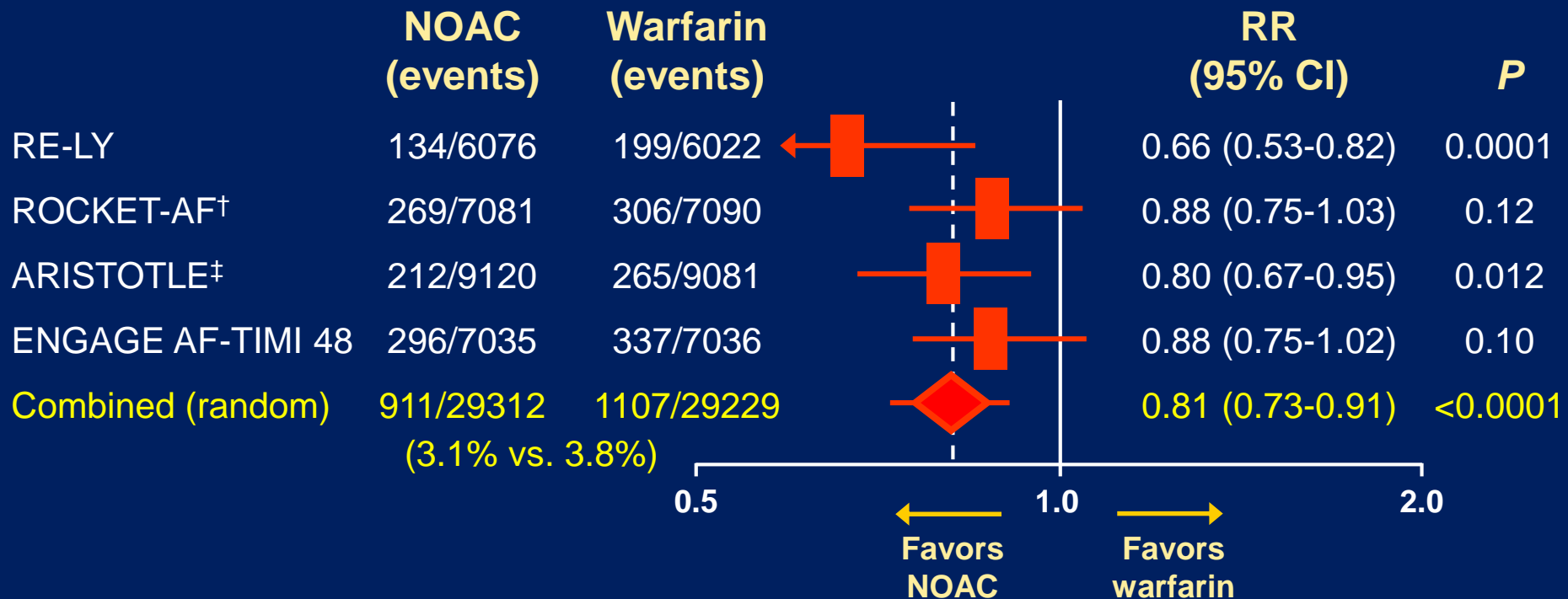
	Re-LY (dabigatran)	ROCKET-AF (rivaroxaban)	ARISTOTLE (apixaban)	ENGAGE AF (edoxaban)
Age, yrs	71.5 mean	<b>73</b> median	70 median	72 median
Female	37%	<b>40%</b>	35%	38%
Hypertension	79%	<b>91%</b>	87%	94%
Diabetes	23%	<b>40%</b>	25%	36%
Heart failure	32%	<b>62%</b>	35%	57%
Prior stroke/TIA	20%	<b>55%</b>	20%	28%
CHADS <sub>2</sub> mean	2.2	<b>3.5</b>	2.1	2.8
- 0-1	32%	-	34%	<1%
- 2	35%	<b>13%</b>	36%	77%
- ≥3	33%	<b>87%</b>	30%	23%
TTR, median	66%	<b>58%</b>	66%	68%



# NOAC vs. Warfarin Meta-analysis

71,683 randomized pts with nonvalvular AF in 4 phase 3 trials:  
RE-LY, ROCKET-AF, ARISTOTLE, ENGAGE AF-TIMI 48

**Primary efficacy: Stroke or systemic embolization**



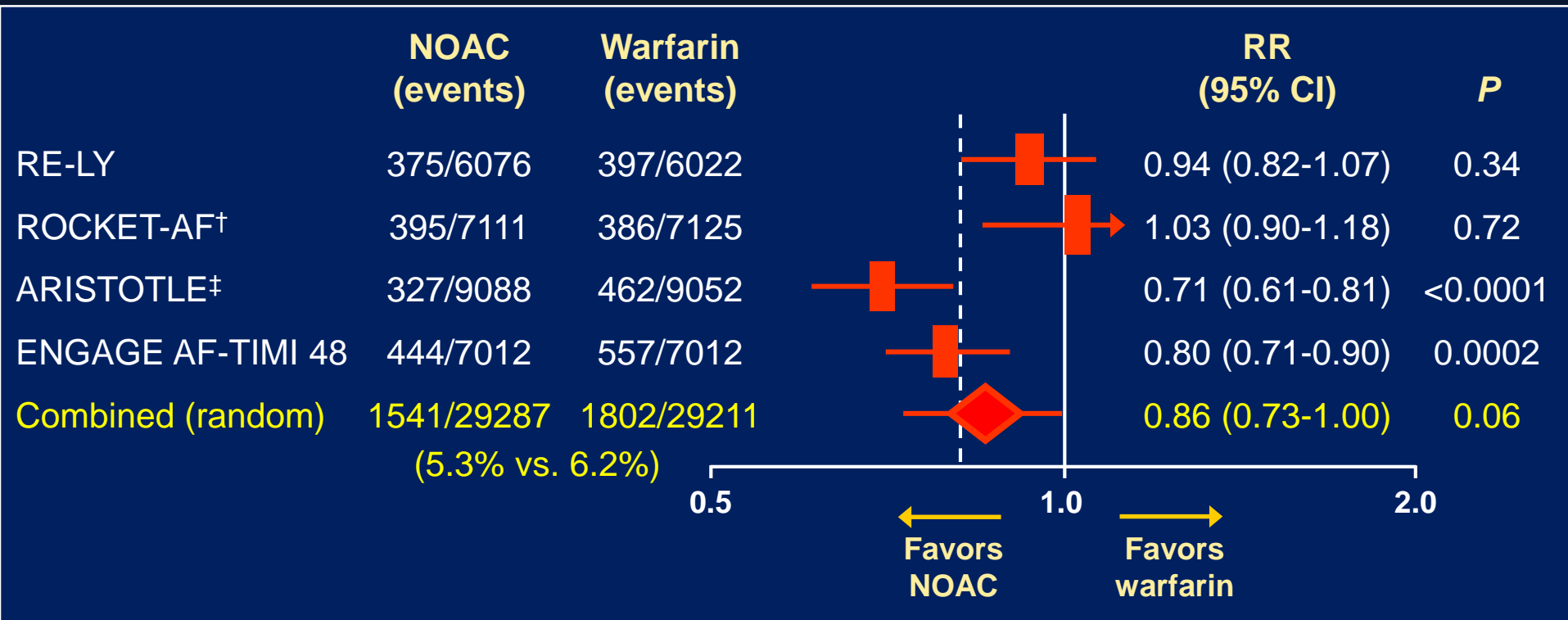
Heterogeneity:  $I^2=47\%$ ;  $p=0.13$

Dabigatran 150 mg bid. †Rivaroxaban 20 mg qd. ‡Apixaban 5 mg bid. §Edoxaban 60 mg qd.

# NOAC vs. Warfarin Meta-analysis

71,683 randomized pts with nonvalvular AF in 4 phase 3 trials:  
RE-LY, ROCKET-AF, ARISTOTLE, ENGAGE AF-TIMI 48

## Primary safety: Major bleeding



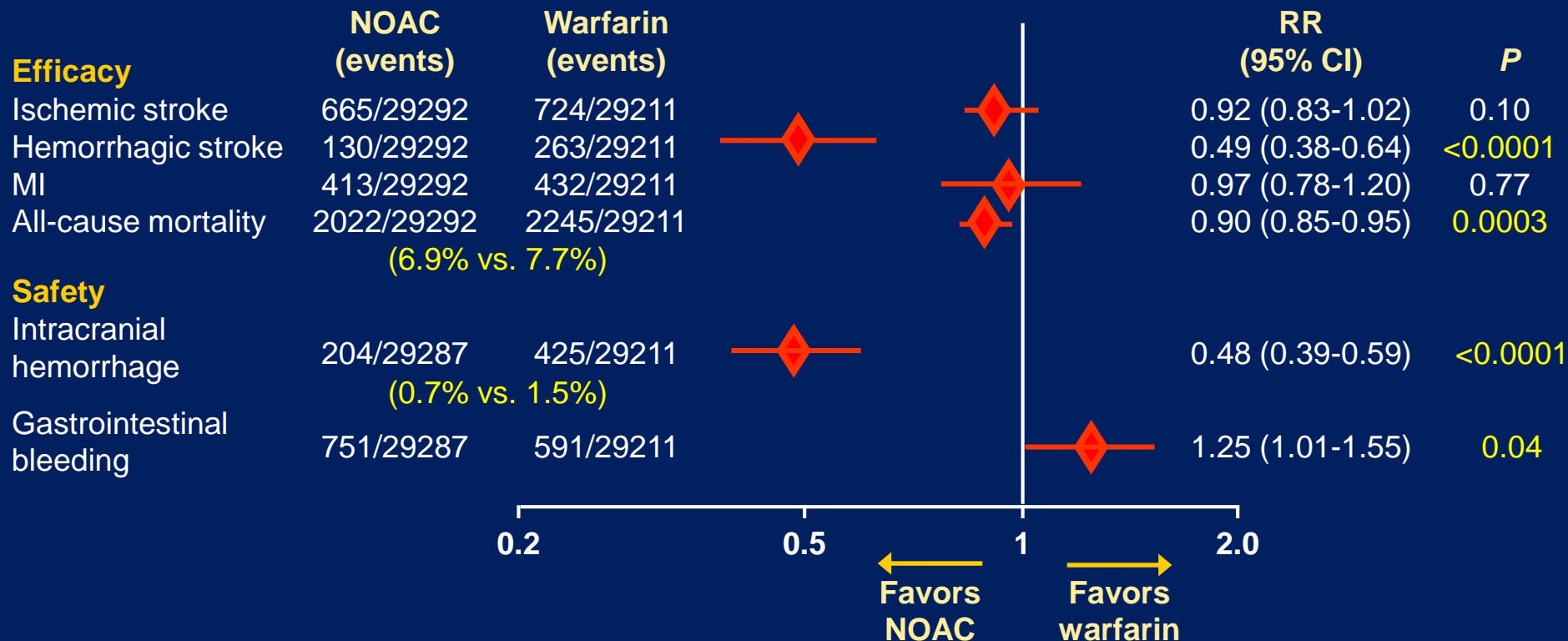
Heterogeneity:  $I^2=83\%$ ;  $p=0.001$ .

\*Dabigatran 150 mg bid. †Rivaroxaban 20 mg qd. ‡Apixaban 5 mg bid. §Edoxaban 60 mg qd.

# NOAC vs. Warfarin Meta-analysis

71,683 randomized pts with nonvalvular AF in 4 phase 3 trials:  
RE-LY, ROCKET-AF, ARISTOTLE, ENGAGE AF-TIMI 48

## Secondary efficacy and safety outcomes

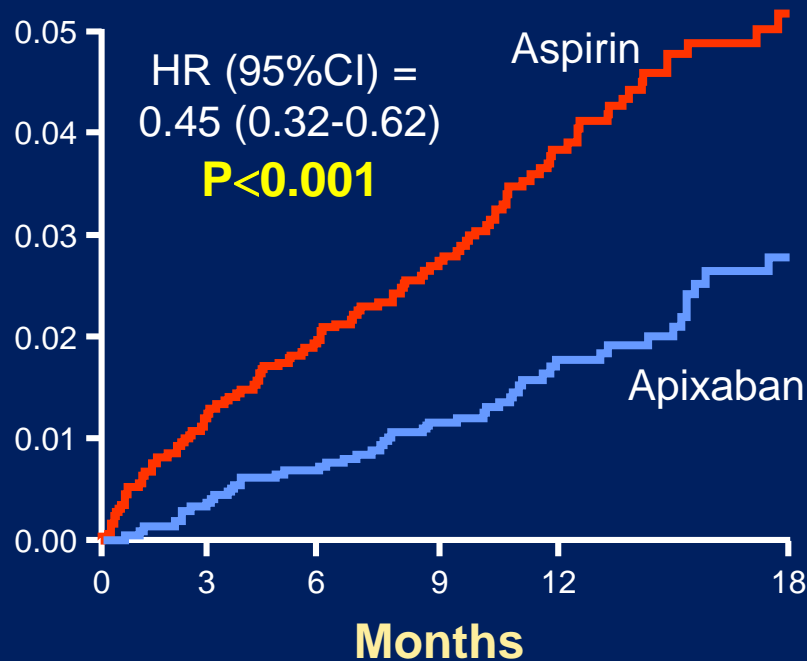


Heterogeneity: ischemic stroke  $I^2=32\%$ ,  $p=0.22$ ; hemorrhagic stroke  $I^2=34\%$ ,  $p=0.21$ ; MI  $I^2=48\%$ ,  $p=0.13$ ; all-cause mortality  $I^2=0\%$ ,  $p=0.81$ ; ICH  $I^2=32\%$ ,  $p=0.22$ ; GIB  $I^2=74\%$ ,  $p=0.009$ .

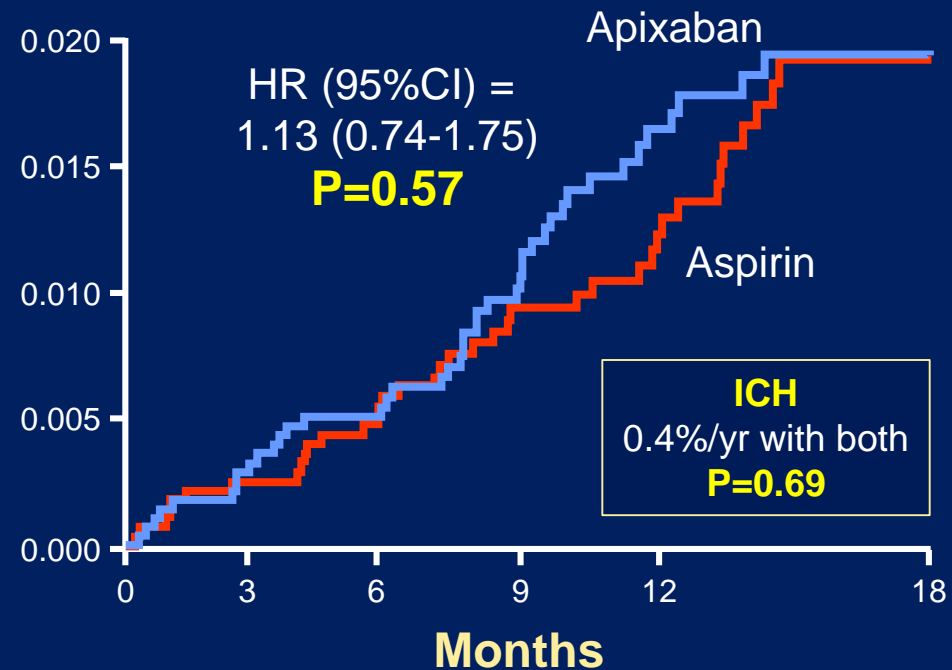
# **AVERROES: Apixaban vs Aspirin in 5,599 Pts with Nonvalvular AF and $\geq 1$ Additional Risk Factor for Stroke Unsuitable for Warfarin by Physician or Pt Preference**

Apixaban dose was 5 mg bid in 94% of pts; 2.5 mg bid was used in pts with  $\geq 2$  of the following criteria: age  $\geq 80$  yrs, weight  $\leq 60$  kg, or s.cr.  $\geq 1.5$  mg/dL

## **Stroke or systemic embolism**



## **Major bleeding**



# Current (2014) ACC/AHA/HRS Guidelines for Anticoagulation

Oral anticoagulants are recommended with in pts with prior stroke, TIA, or CHA2DS2-VASc score  $\geq 2$ . Options include:

Warfarin

Dabigatran, rivaroxaban or apixiban

I	A
I	B

Direct thrombin or factor Xa inhibitor is recommended if unable to maintain therapeutic INR

I	C
---	---

---

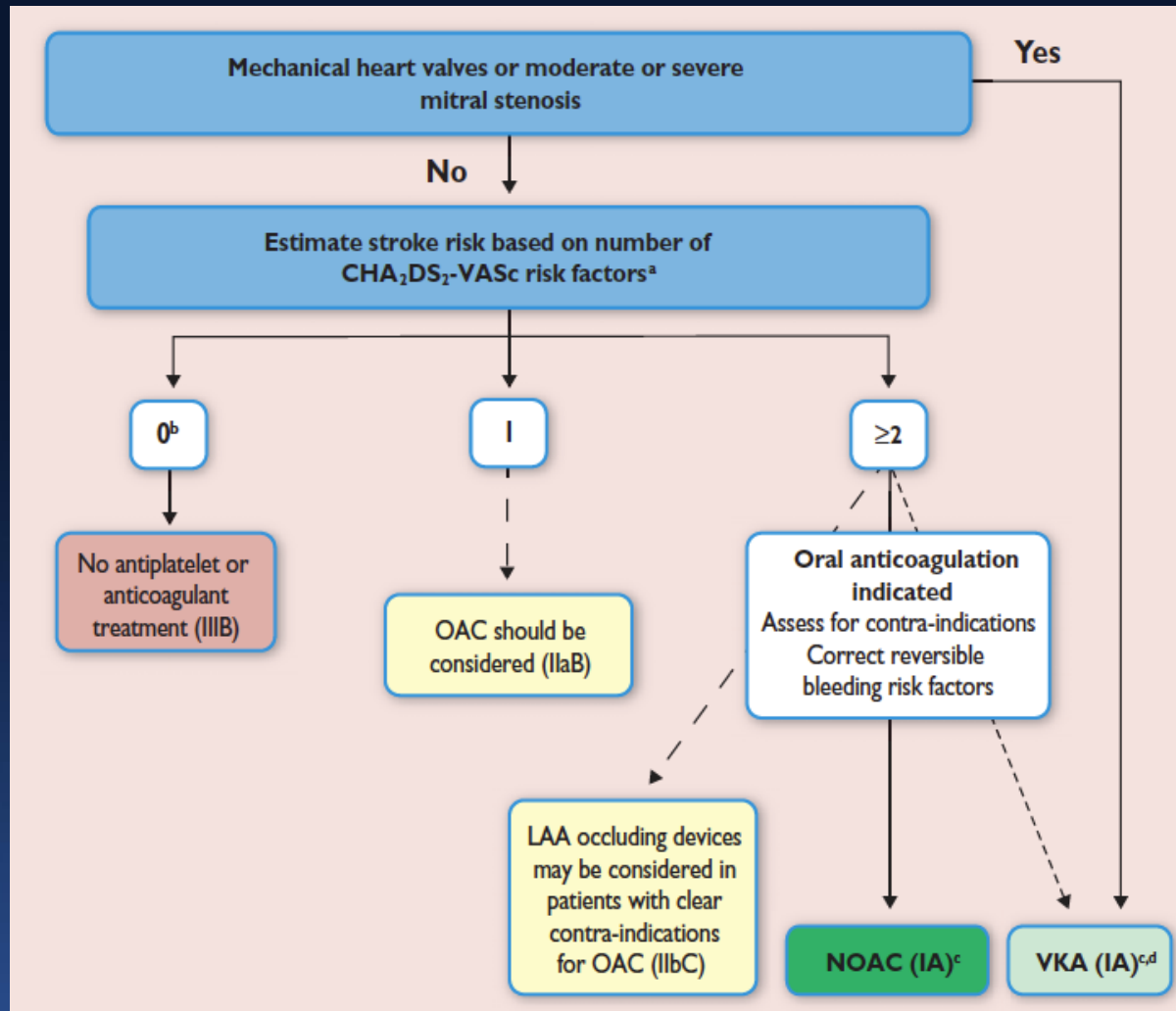
Warfarin is recommended for mechanical heart valves, with target INR intensity based on type and location of prosthesis

I	B
---	---

Dabigatran should not be used with a mechanical heart valve

III: Harm	B
-----------	---

# Current (2016) ESC Guidelines for OAC



a. CHF, HTN, age ≥75 yrs (2 pts), age 65-74 yrs, DM, prior CVA/TIA/embolus (2 pts), vascular ds., female

b. Includes women without other stroke risk factors

c. IIaB for women with only 1 additional stroke risk factor

d. IB for pts with mechanical heart valves or mitral stenosis

When oral anticoagulation is initiated in a patient with AF who is eligible for a NOAC (apixaban, dabigatran, edoxaban, or rivaroxaban), a NOAC is recommended in preference to a vitamin K antagonist.



Atrial fibrillation + PCI or ACS  
**Dual vs. Triple Therapy**

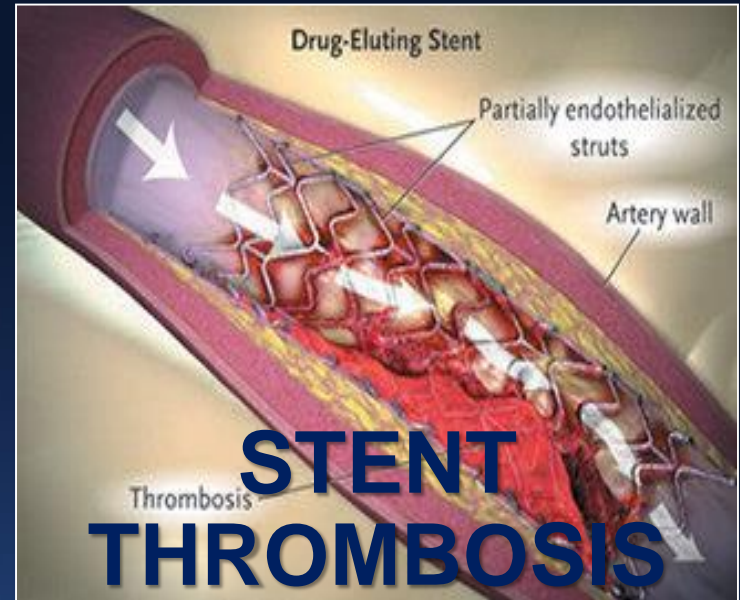


# The Clinical Challenge of Patients with NVAF Undergoing PCI (or w/ACS)

10-15% of pts undergoing PCI (or with ACS) have NVAF



OAC for stroke prevention



DAPT for ST prevention

Triple therapy

Bleeding

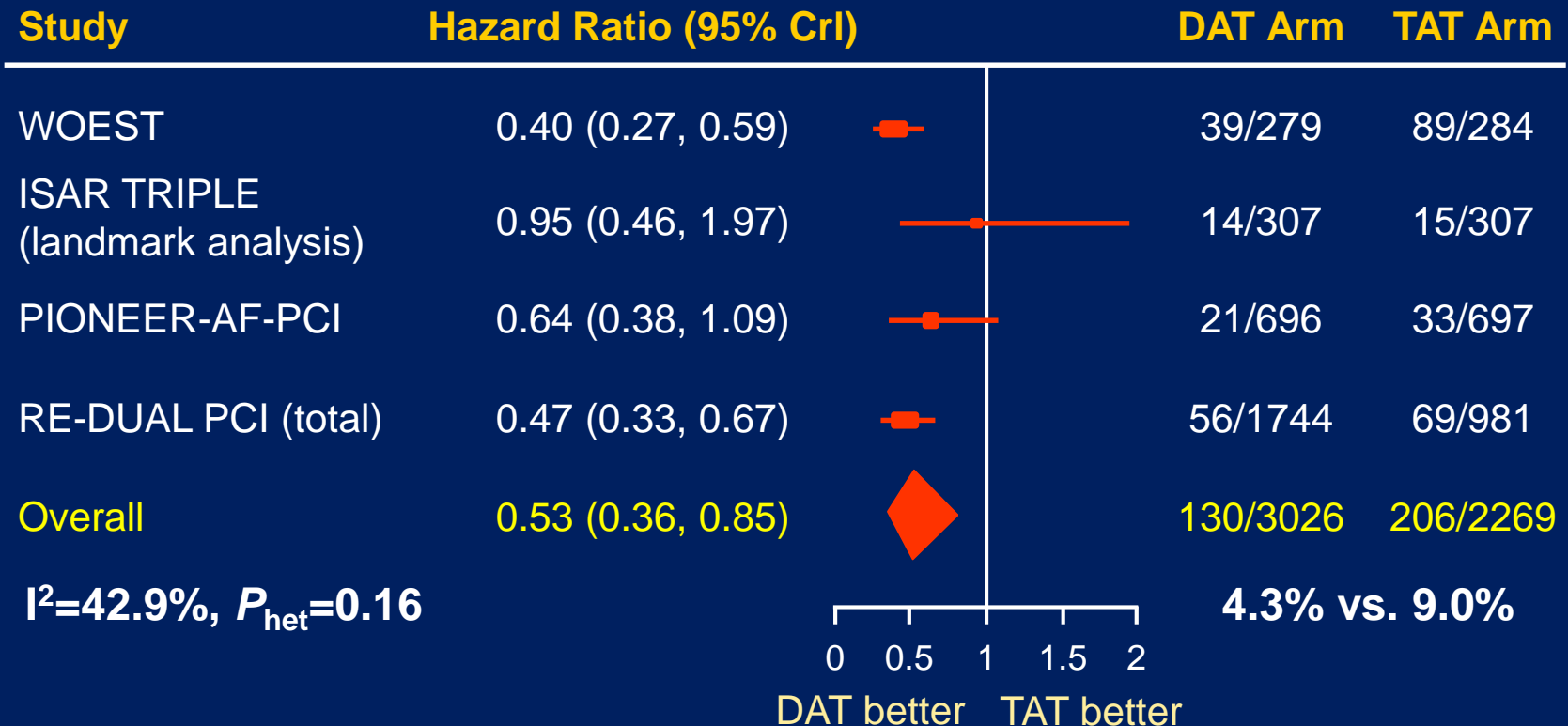


# Meta-analysis of TAPT vs. DAPT after PCI in pts indicated for OAC

5,317 pts in 4 RCTs with mean FU 9-14 months

WOEST (W/A/C vs. W/C), ISAR-TRIPLE (W/A/Cx6mo vs. W/A/Cx6wk), PIONEER AF-PCI (W/A/C vs. R 15/C), RE-DUAL PCI (W/A/C vs. Dabi 110 or 150/C)

## TIMI major/minor bleeding

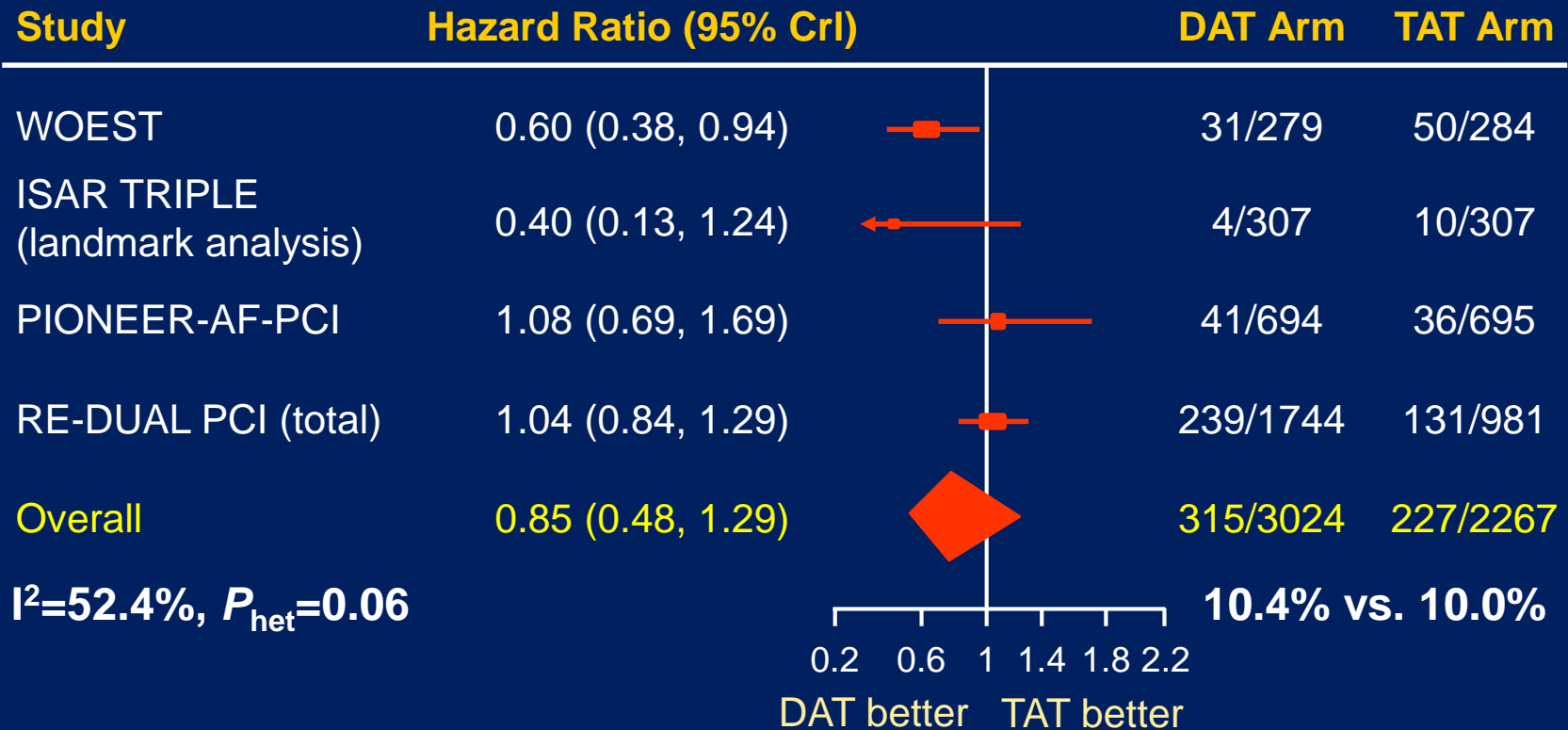


# Meta-analysis of TAPT vs. DAPT after PCI in pts indicated for OAC

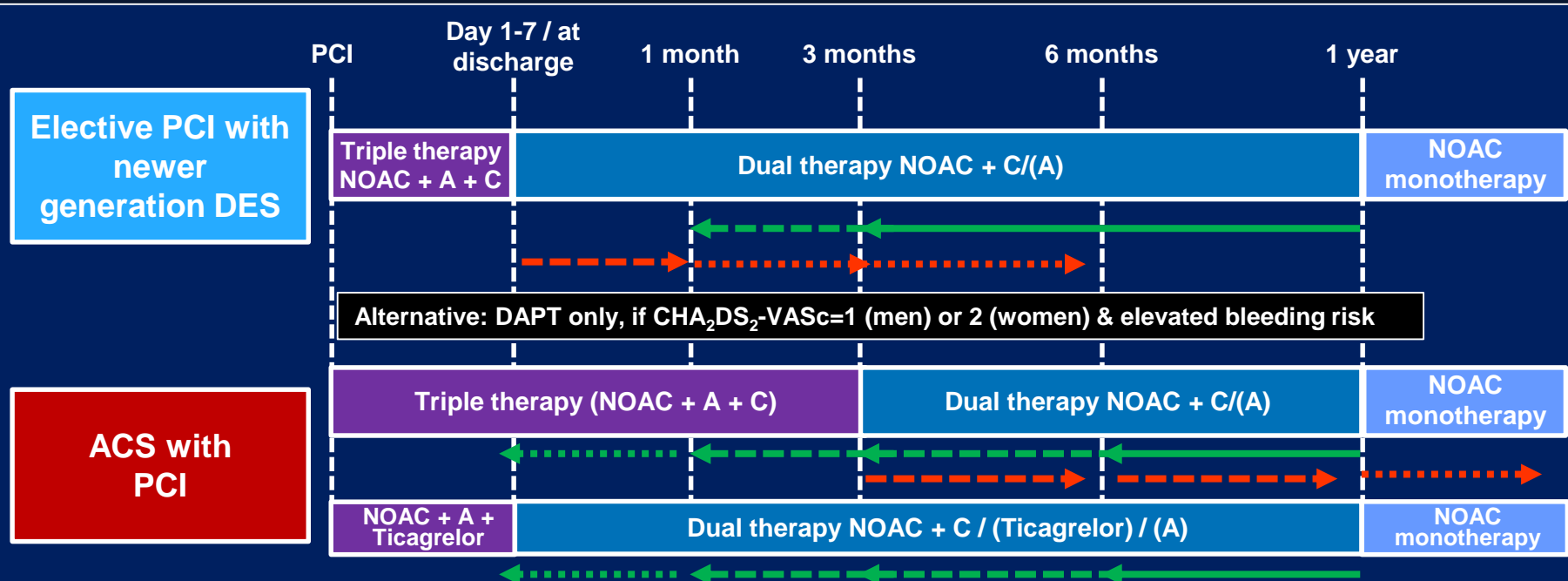
5,317 pts in 4 RCTs with mean FU 9-14 months

WOEST (W/A/C vs. W/C), ISAR-TRIPLE (W/A/Cx6mo vs. W/A/Cx6wk),  
PIONEER AF-PCI (W/A/C vs. R 15/C), RE-DUAL PCI (W/A/C vs. Dabi 110 or 150/C)

## MACE (trial-defined)



# Antiplatelet and OAC Considerations after PCI in SIHD and ACS



## Factors to shorten combination therapy

- (Uncorrectable) high bleeding risk
- Low atherothrombotic risk (by REACH or SYNTAX score if elective; GRACE <140 if ACS)

## Factors to lengthen combination therapy

- First generation DES
- High atherothrombotic risk (per scores as above; stenting of the left main, proximal LAD, proximal bifurcation; recurrent MIs; stent thrombosis, etc.) and low bleeding risk

# Pharmacologic Therapy to Prevent Recurrent Cryptogenic Stroke with PFO

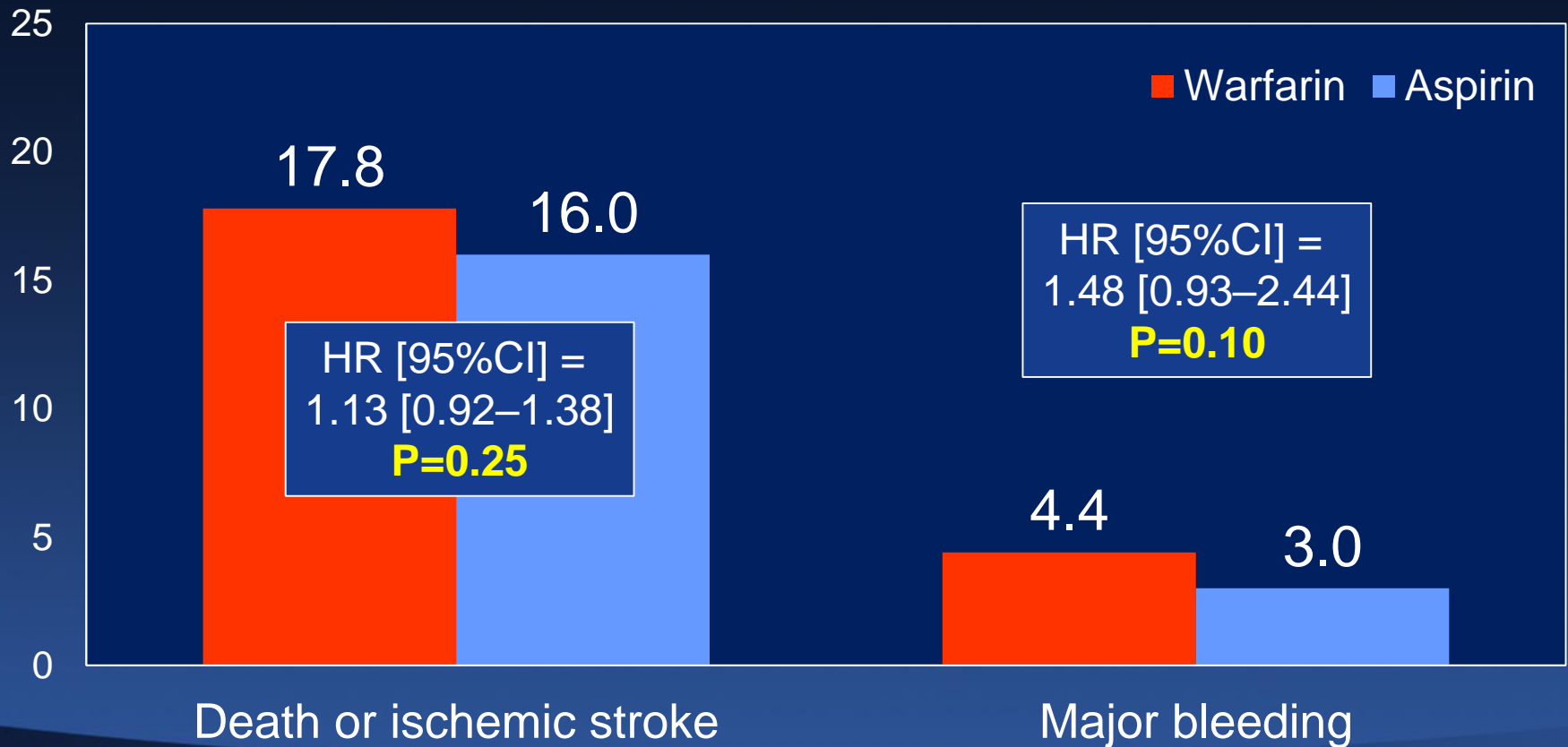
**Chronic Oral  
Anticoagulation vs.  
Antiplatelet Therapy**

# OAC vs. APT for Recurrent Stroke

## WARRS

Warfarin (mean INR 2.1) vs. ASA 325 mg qd  
N=2206; Mean FU 2 years

### Two-year event rates (%)

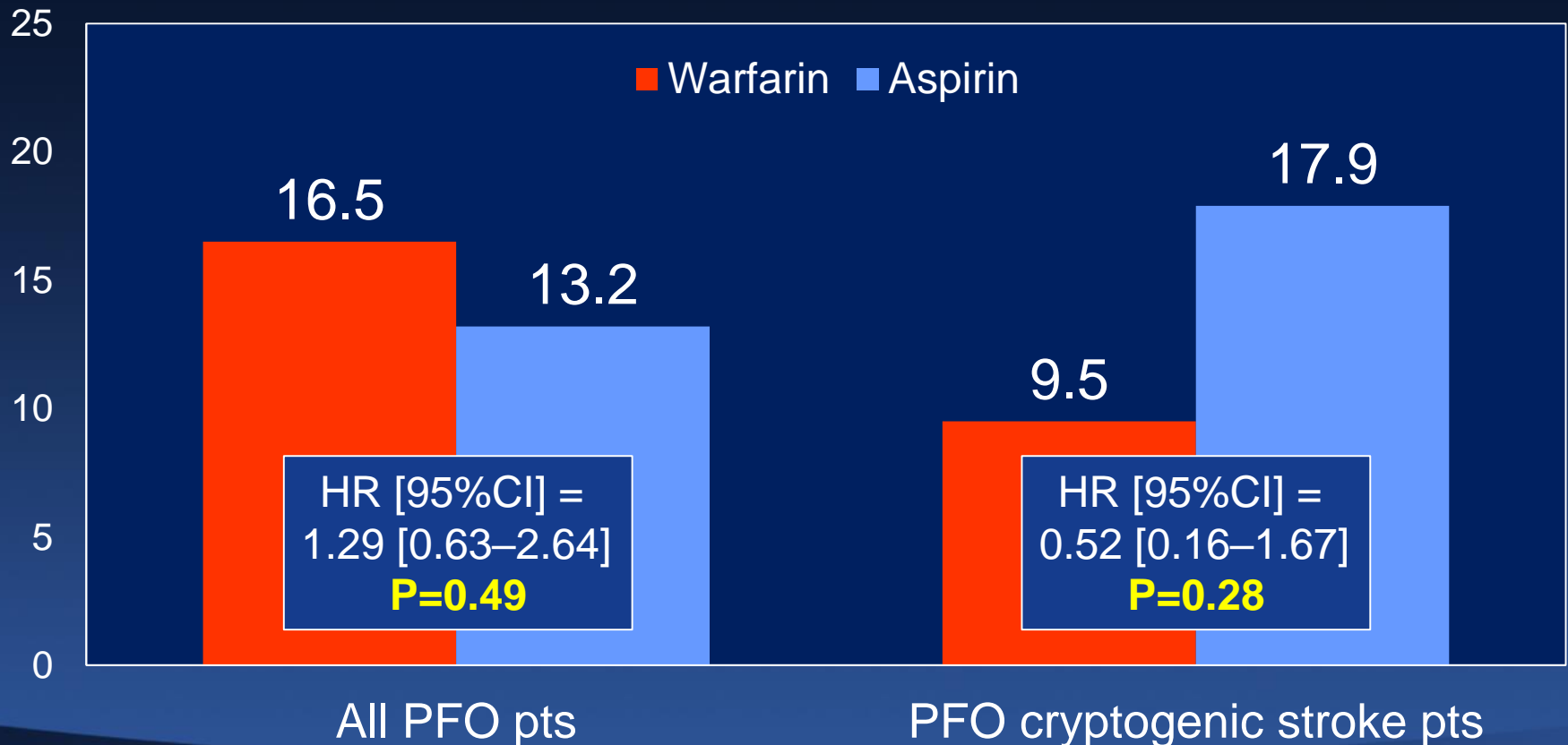


# OAC vs. APT for Recurrent Stroke

## PICSS (WARRS substudy)

Warfarin (mean INR 2.1) vs. ASA 325 mg qd; N=203/630 pts (33.8%)  
who underwent TEE had PFO; 98 pts had cryptogenic stroke

### Two-year death or ischemic stroke (%)



# OAC vs. APT Rx in cryptogenic stroke with PFO: **TAcTiCS**

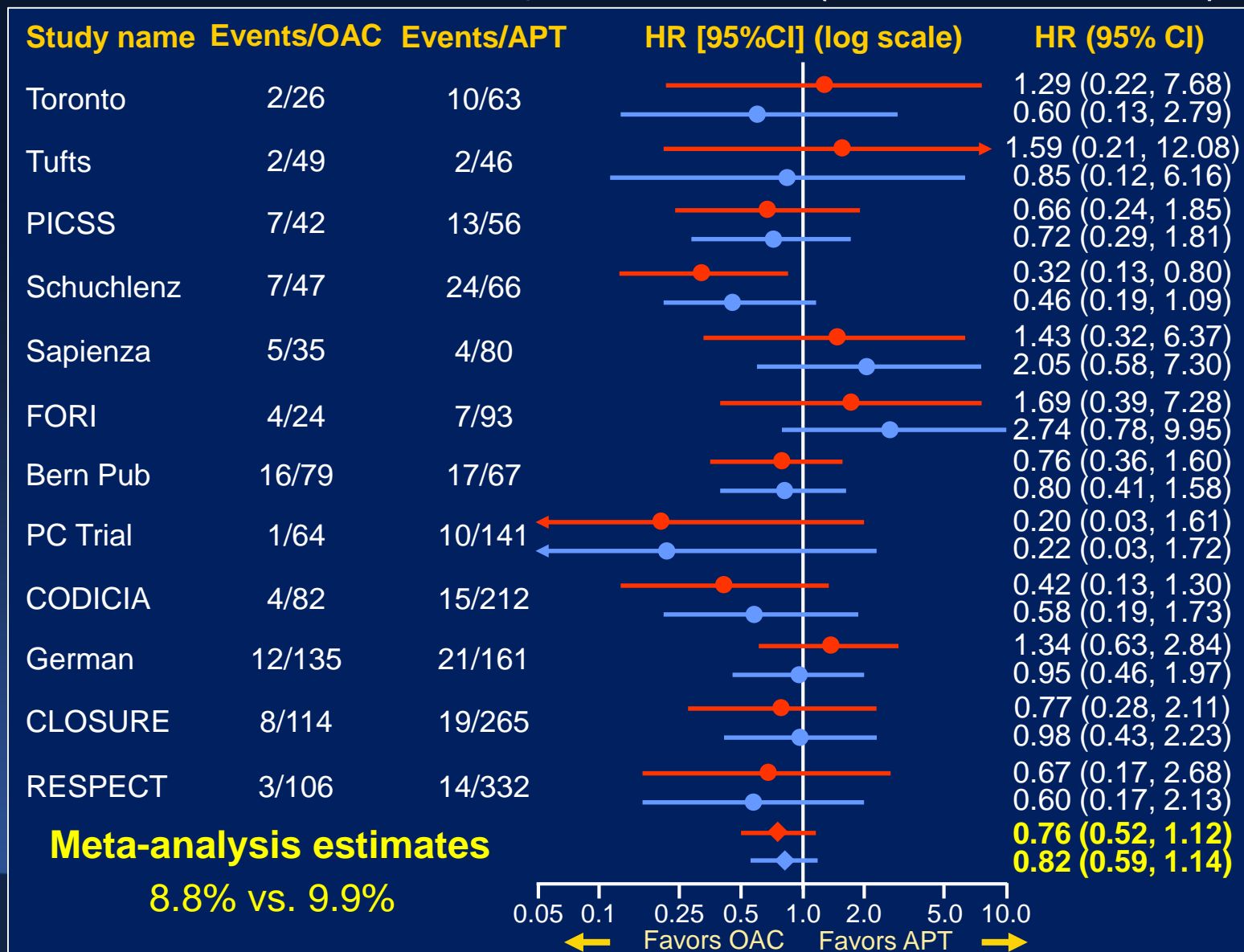
## Individual pt data meta-analysis of 12 studies

2,385 pts (804 warfarin and 1581 APT), 227 events (stroke/TIA/death)

### Recurrent Stroke, TIA or Death

- Adjusted study estimate
- Unadjusted study estimate

Kent DM et al  
*EHJ* 201536:  
2381-9



# OAC vs. APT Rx in cryptogenic stroke with PFO: TAcTiCS

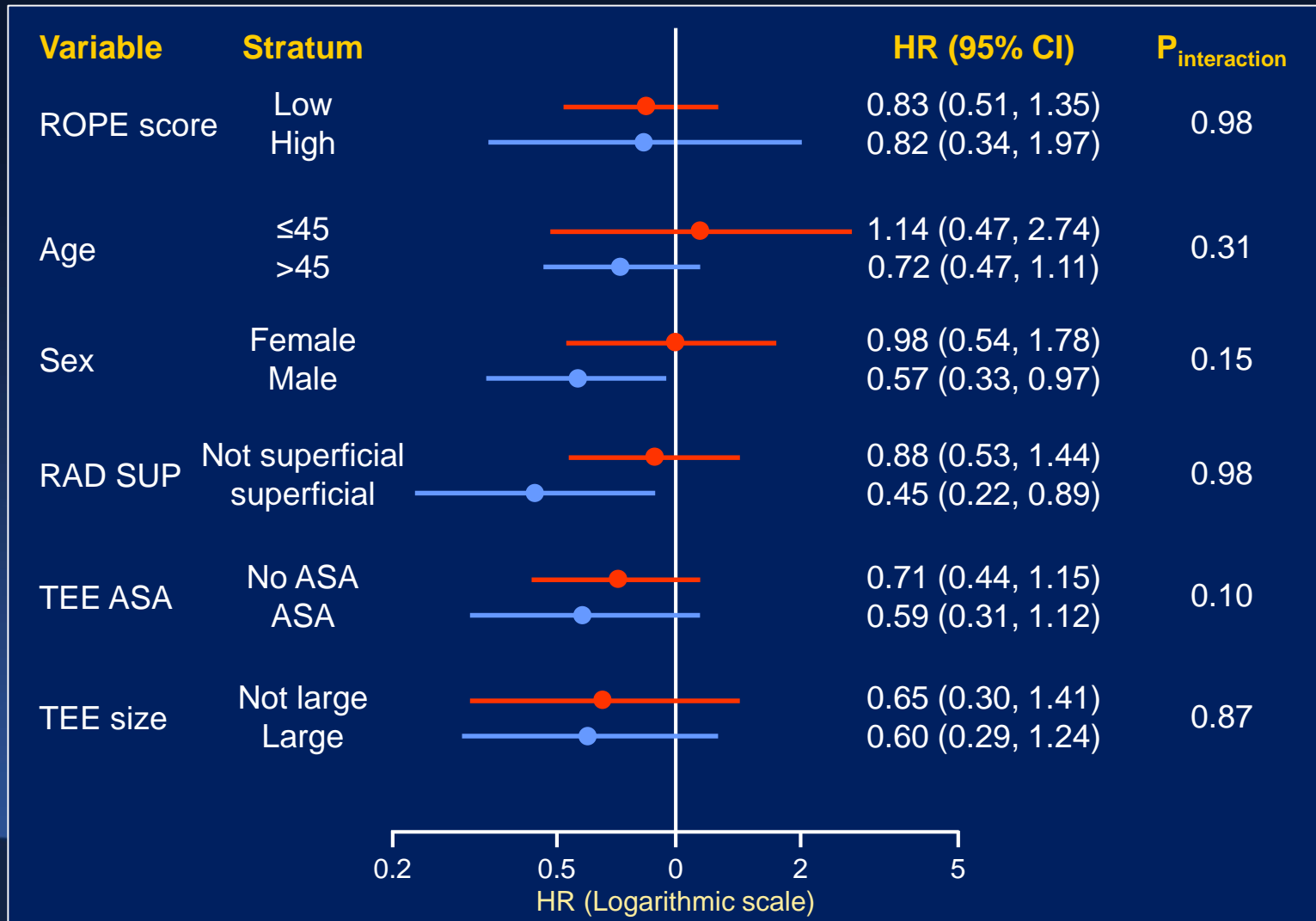
## Individual pt data meta-analysis of 12 studies

2,385 pts (804 warfarin and 1581 APT), 227 events (stroke/TIA/death)

### Subgroups

## Recurrent Stroke, TIA or Death

- Adjusted study estimate
- Unadjusted study estimate



Kent DM et al  
*EHJ* 201536:  
2381-9

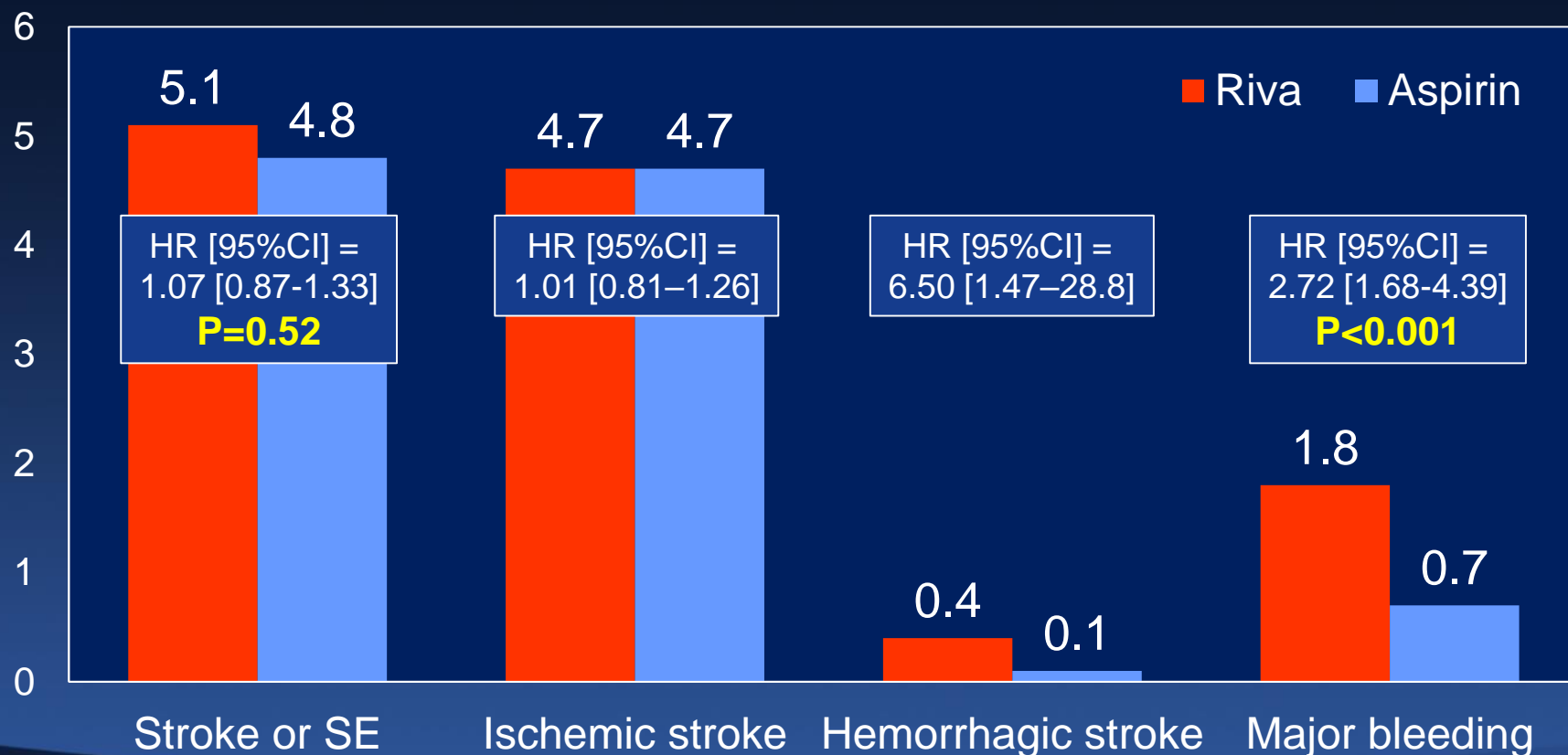


# OAC vs. APT for Cryptogenic Stroke

## NAVIGATE ESUS

Rivaroxaban 15 mg qd vs. ASA 100 mg qd  
N=7213; 534 (7.4%) with documented PFO; Median FU 11 mo

### Annualized event rate (%)



# Conclusions

## Warfarin and NOACs in NVAF and PFO

- Warfarin and NOACs are markedly effective at reducing ischemic stroke in NVAF, but increase major bleeding (including intracranial hemorrhage [ICH])
- Compared to warfarin, NOACs reduce major bleeding (especially ICH) and possibly mortality, do not require monitoring and have fewer drug/food interactions, but are more expensive
  - NOACs have emerged as the preferred first-line therapy for many pts with NVAF
- Pts with NVAF and PCI/ACS are a high-risk cohort
  - Standard of care (OAC + APT) in these pts is evolving
- There is no clear pharmacologic gold-standard for PFO