

# Atherosclerotic Carotid Bifurcation Stenosis: Primary and Secondary Prevention Strategies

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# Faculty Disclosure

- William A. Gray, MD
- For the 12 months preceding this CME activity, I disclose the following types of financial relationships:
  - Honoraria received from and consulted for:
    - Abbott Vascular
    - Cook
    - Medtronic
    - Medrad/Possis
    - WL Gore
    - Boston Scientific
    - Cordis/Johnson & Johnson

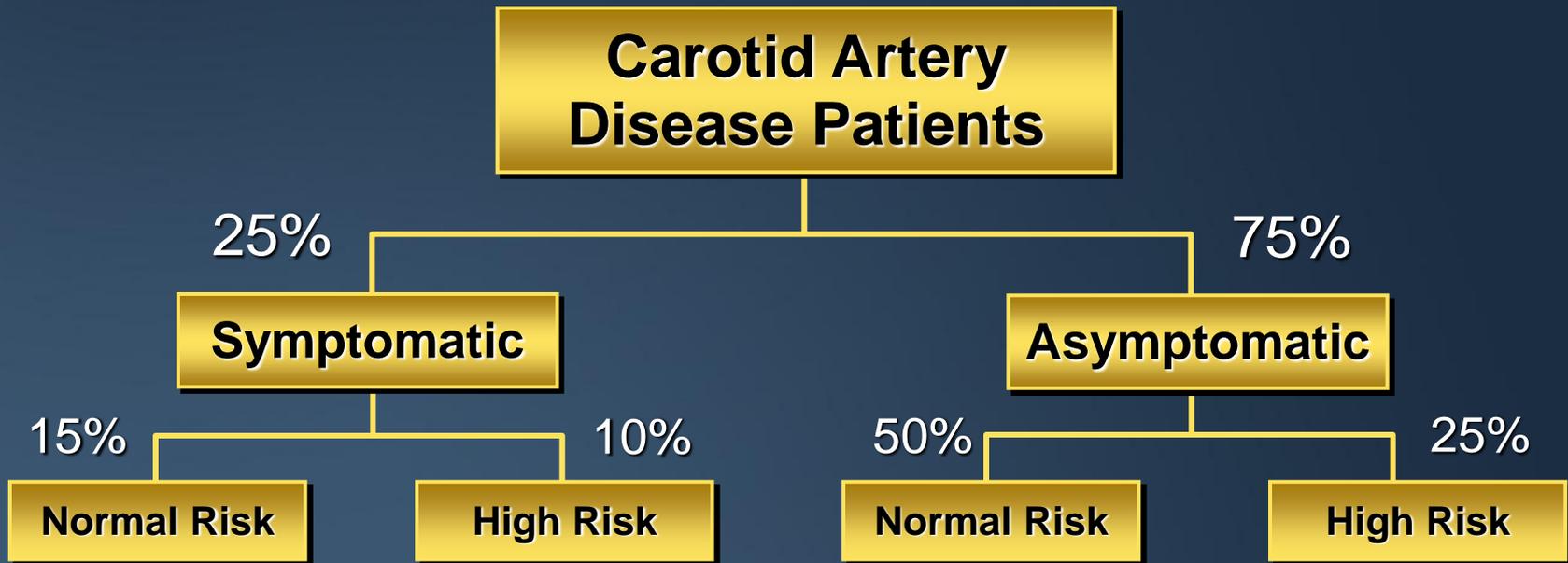


# Faculty Disclosure (continued)

- William A. Gray, MD
- Held common stock in:
  - Biocardia
  - Contego
- Research, clinical trial, or drug study funds received from:
  - Abbott Vascular
  - Cordis/Johnson & Johnson
  - Medtronic
- I will be discussing products that are investigational or not labeled for use under discussion.



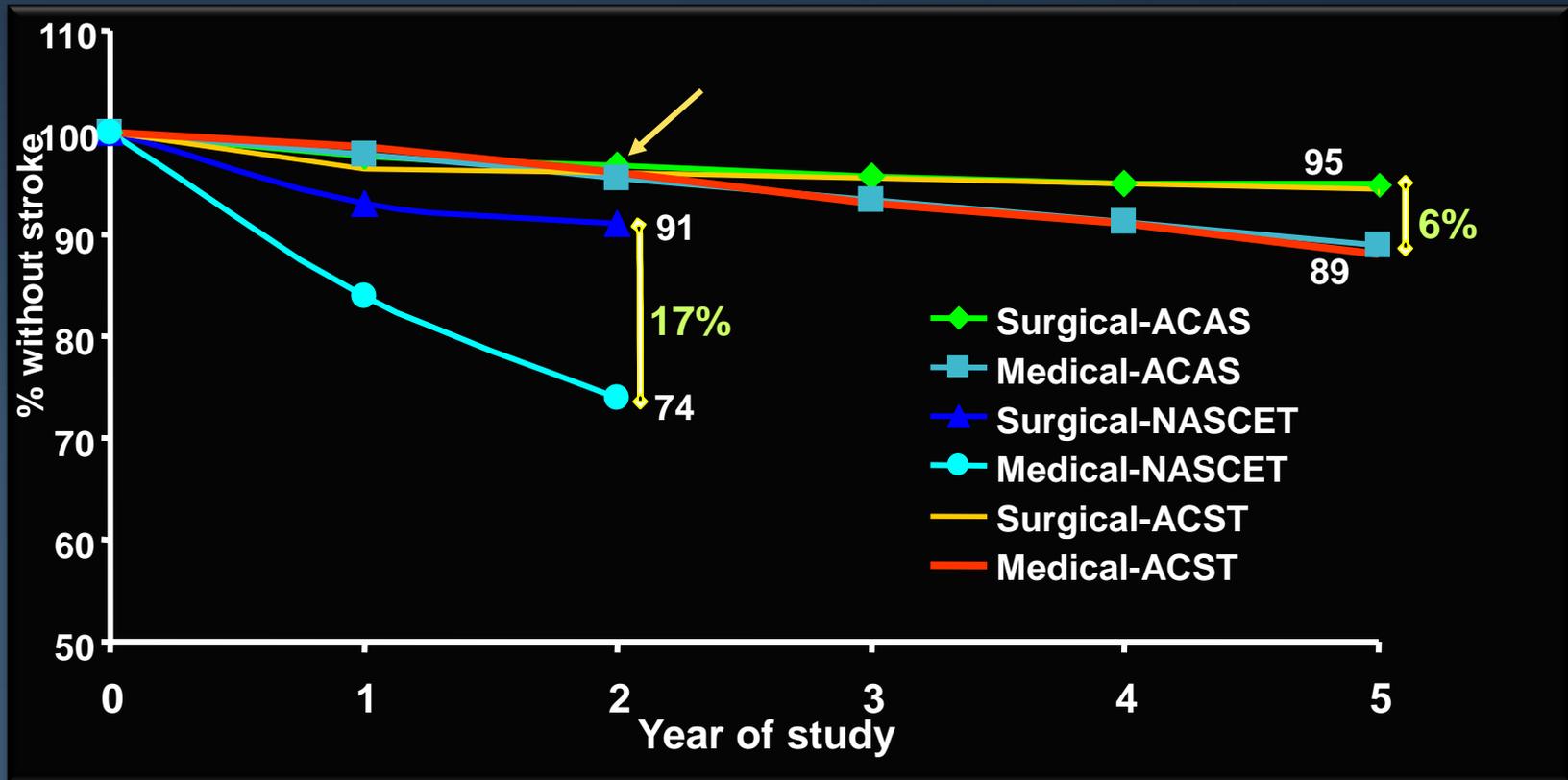
# US population distribution of carotid disease intervention



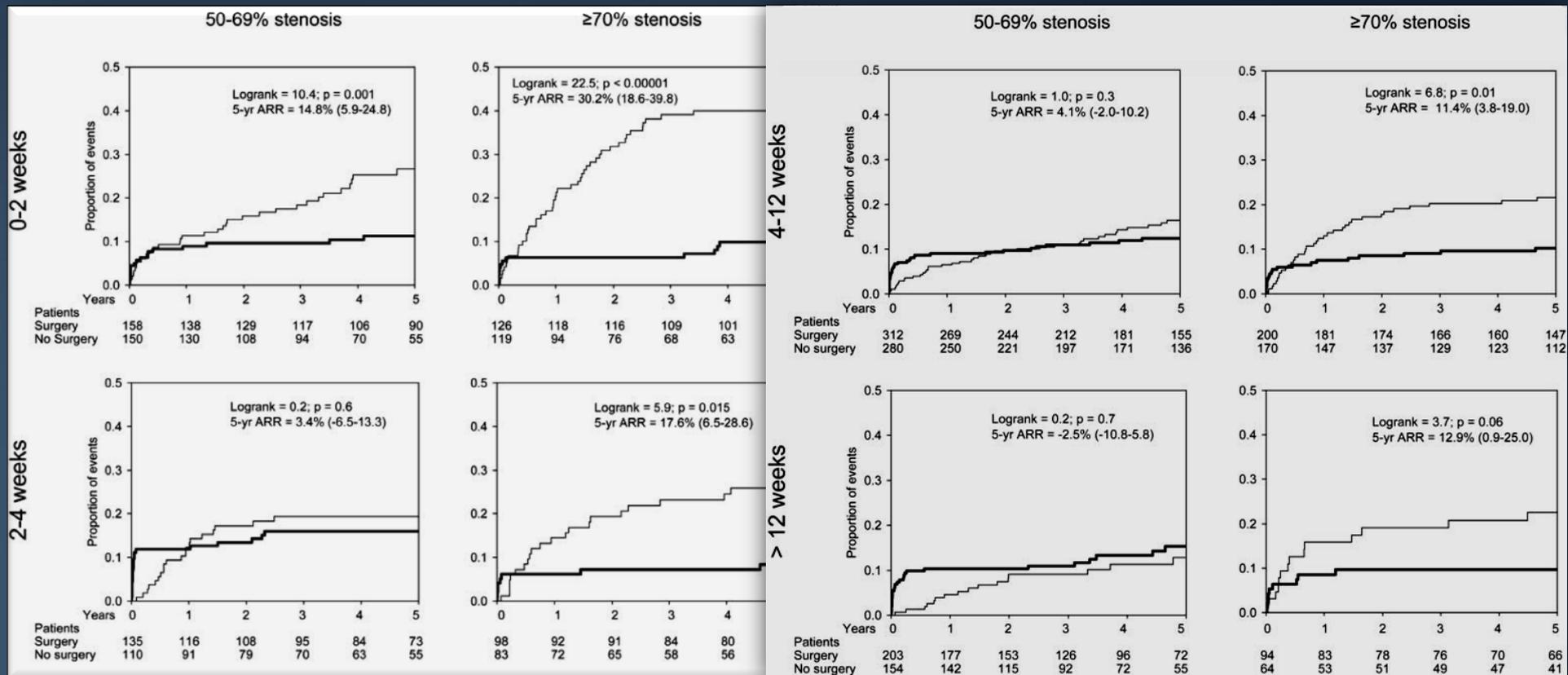
Data establishing revascularization as  
standard of care for secondary prevention  
in symptomatic patients



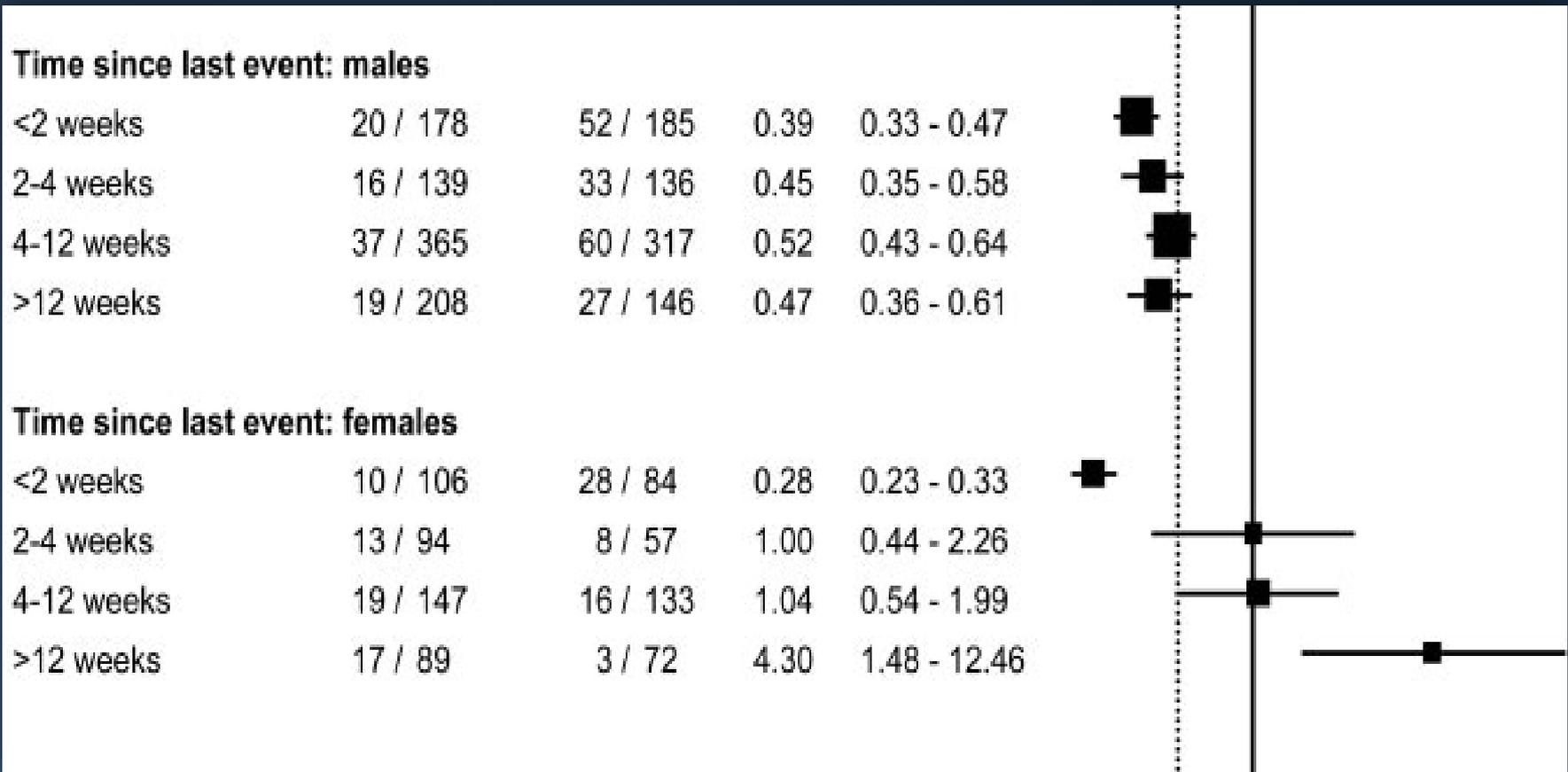
# NASCET: Profound benefit of CEA in symptomatic patients



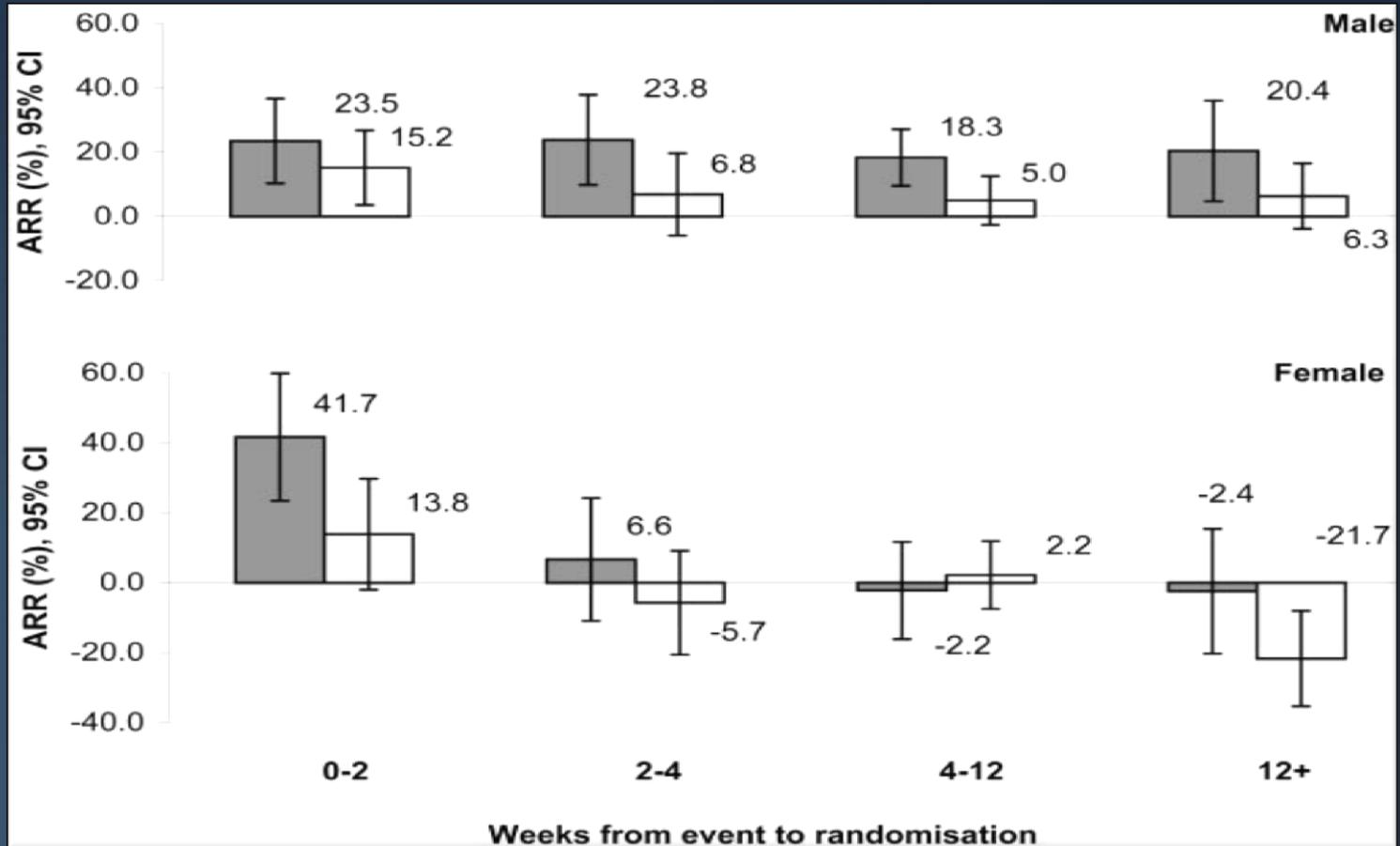
# Benefit of CEA decreases with time from event



# Effect of CEA timing especially pronounced in females



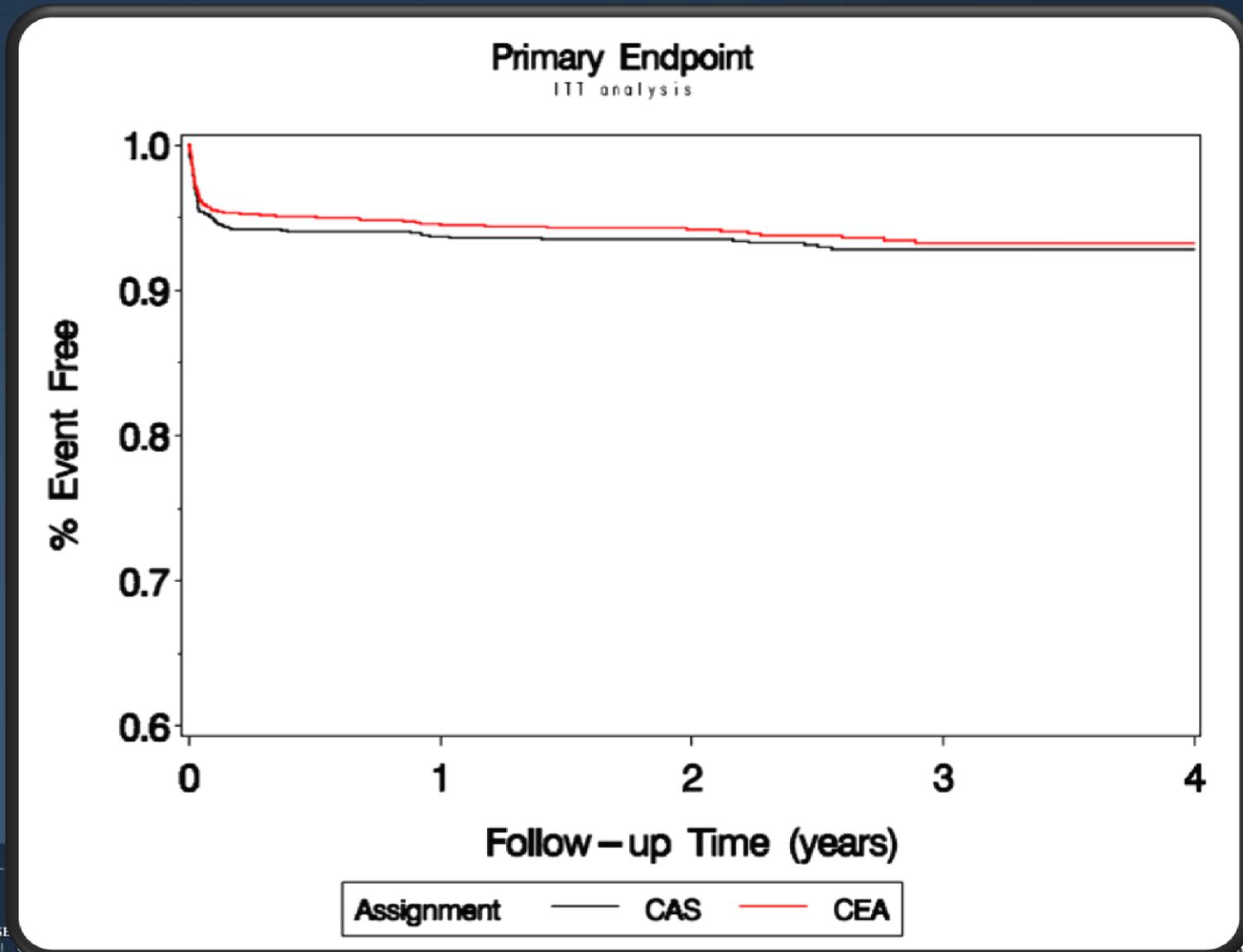
# Sex, stenosis and time to CEA all influence benefit of CEA



# Choice of CEA and CAS

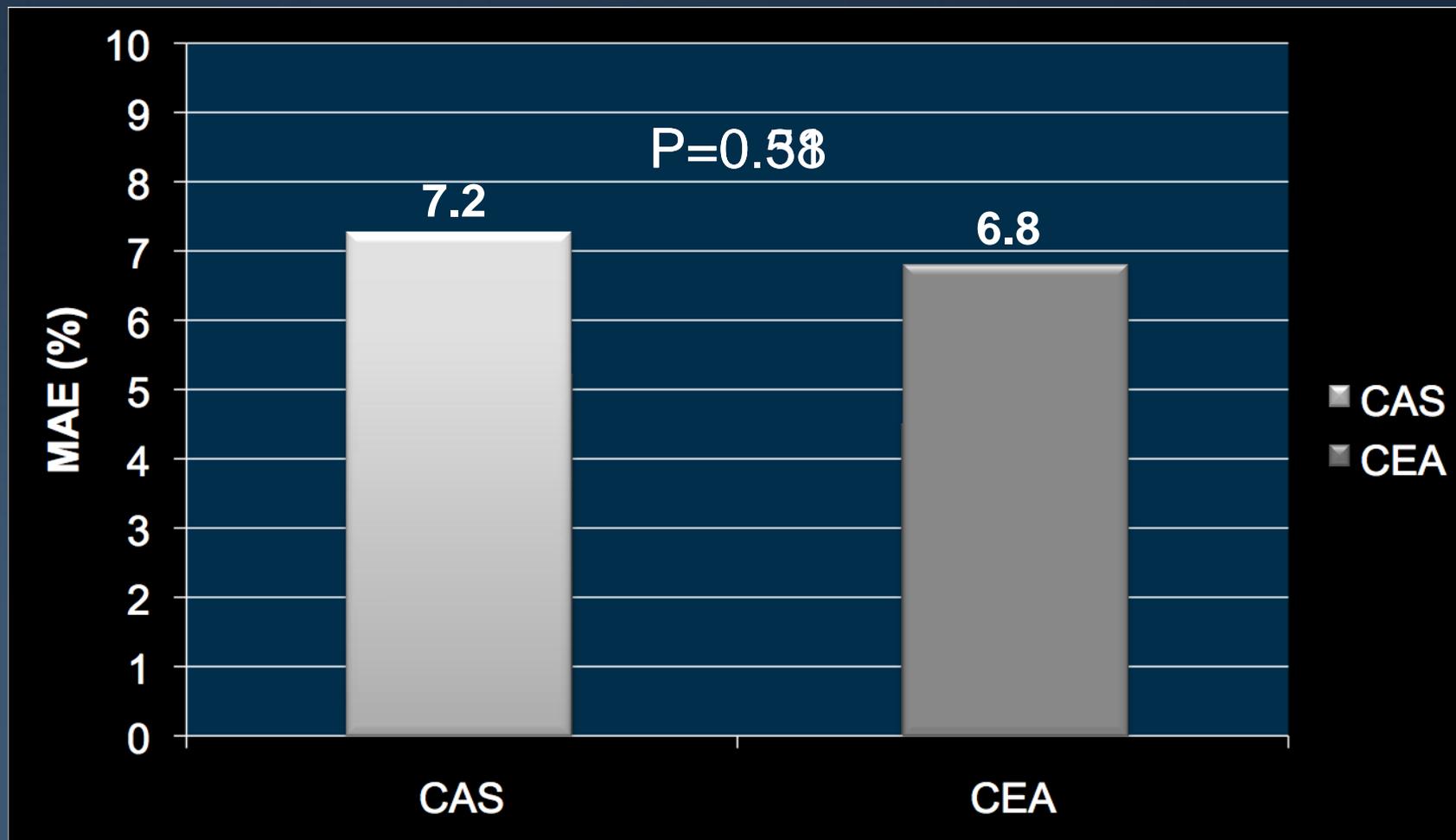


# Stroke prevention efficacy equal between CAS and CEA in CREST



# Primary endpoint $\leq 4$ years (mean 2.5)

## Peri-procedural outcomes (D/S/MI)



HR 1.11 95% CI: 0.81-1.51



| End Point  | Periprocedural Period                 |              |   |   |         |
|--|---------------------------------------|--------------|---|---|---------|
|  | CAS<br><i>no. of patients (% ±SE)</i> | CEA          | Absolute Treatment<br>Effect of CAS vs. CEA<br>(95% CI)<br><i>percentage points</i> | Hazard Ratio for<br>CAS vs. CEA<br>(95% CI) | P Value |
| <b>Myocardial infarction</b>   |                                       |              |   |   |         |
| Asymptomatic patients  | 7 (1.2±0.4)                           | 13 (2.2±0.6) | -1.0 (-2.5 to 0.4)  | 0.55 (0.22 to 1.38)                         | 0.20    |
| Symptomatic patients   | 7 (1.0±0.4)                           | 15 (2.3±0.6) | -1.2 (-2.6 to 0.1)  | 0.45 (0.18 to 1.11)                         | 0.08    |
| <b>Any periprocedural stroke or postprocedural ipsilateral stroke</b>  |                                       |              |   |   |         |
| Asymptomatic patients  | 15 (2.5±0.6)                          | 8 (1.4±0.5)  | 1.2 (-0.4 to 2.7)   | 1.88 (0.79 to 4.42)                         | 0.15    |
| Symptomatic patients   | 37 (5.5±0.9)                          | 21 (3.2±0.7) | 2.3 (0.1 to 4.5)  | 1.74 (1.02 to 2.98)                         | 0.04    |
| <b>Any periprocedural stroke or death or postprocedural ipsilateral stroke</b>   |                                       |              |   |   |         |
| Asymptomatic patients  | 15 (2.5±0.6)                          | 8 (1.4±0.5)  | 1.2 (-0.4 to 2.7)   | 1.88 (0.79 to 4.42)                         | 0.15    |
| Symptomatic patients   | 40 (6.0±0.9)                          | 21 (3.2±0.7) | 2.8 (0.5 to 5.0)  | 1.89 (1.11 to 3.21)                         | 0.02    |
| <b>Primary end point (any periprocedural stroke, myocardial infarction, or death or postprocedural ipsilateral stroke)</b> |                                       |              |   |   |         |
| Asymptomatic patients  | 21 (3.5±0.8)                          | 21 (3.6±0.8) | 0.0 (-2.2 to 2.1)   | 1.02 (0.55 to 1.86)                         | 0.96    |
| Symptomatic patients   | 45 (6.7±1.0)                          | 35 (5.4±0.9) | 1.4 (-1.2 to 3.9)   | 1.26 (0.81 to 1.96)                         | 0.30    |

# Long-term outcomes for both CEA and CAS



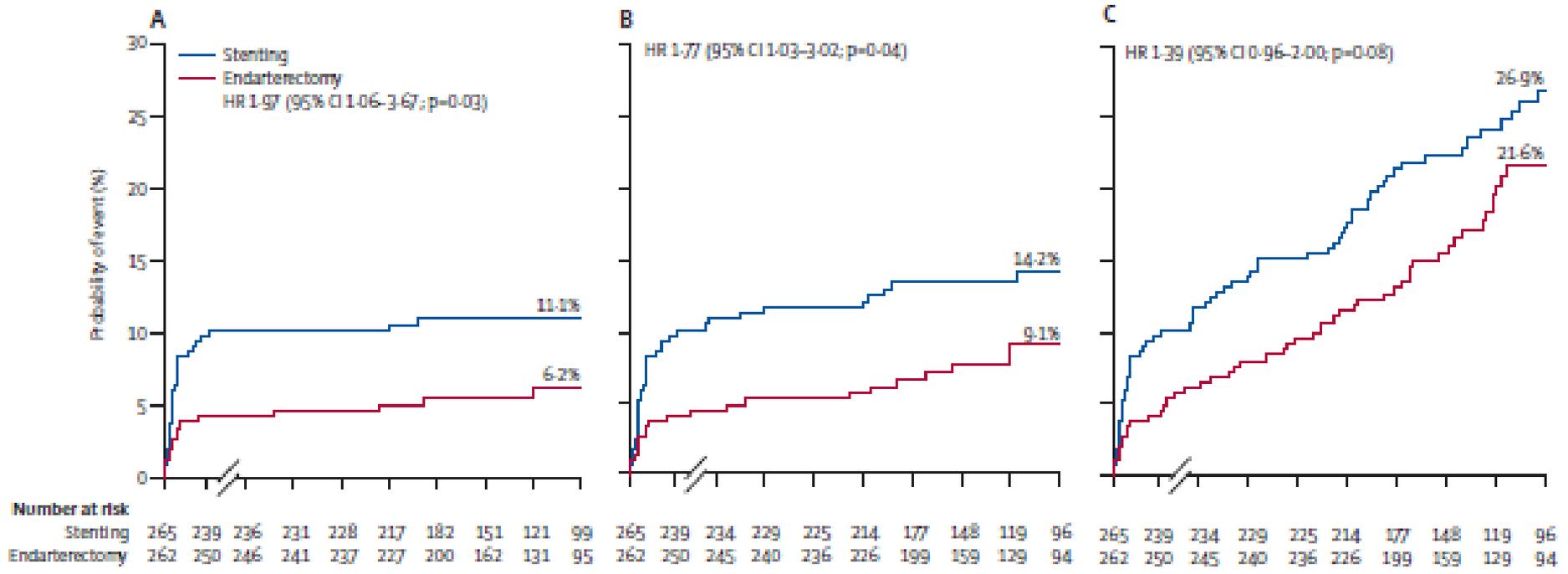
# Stroke prevention efficacy equal between CEA and CAS

## EVA-3S: 4-year outcomes

Any ipsilateral stroke

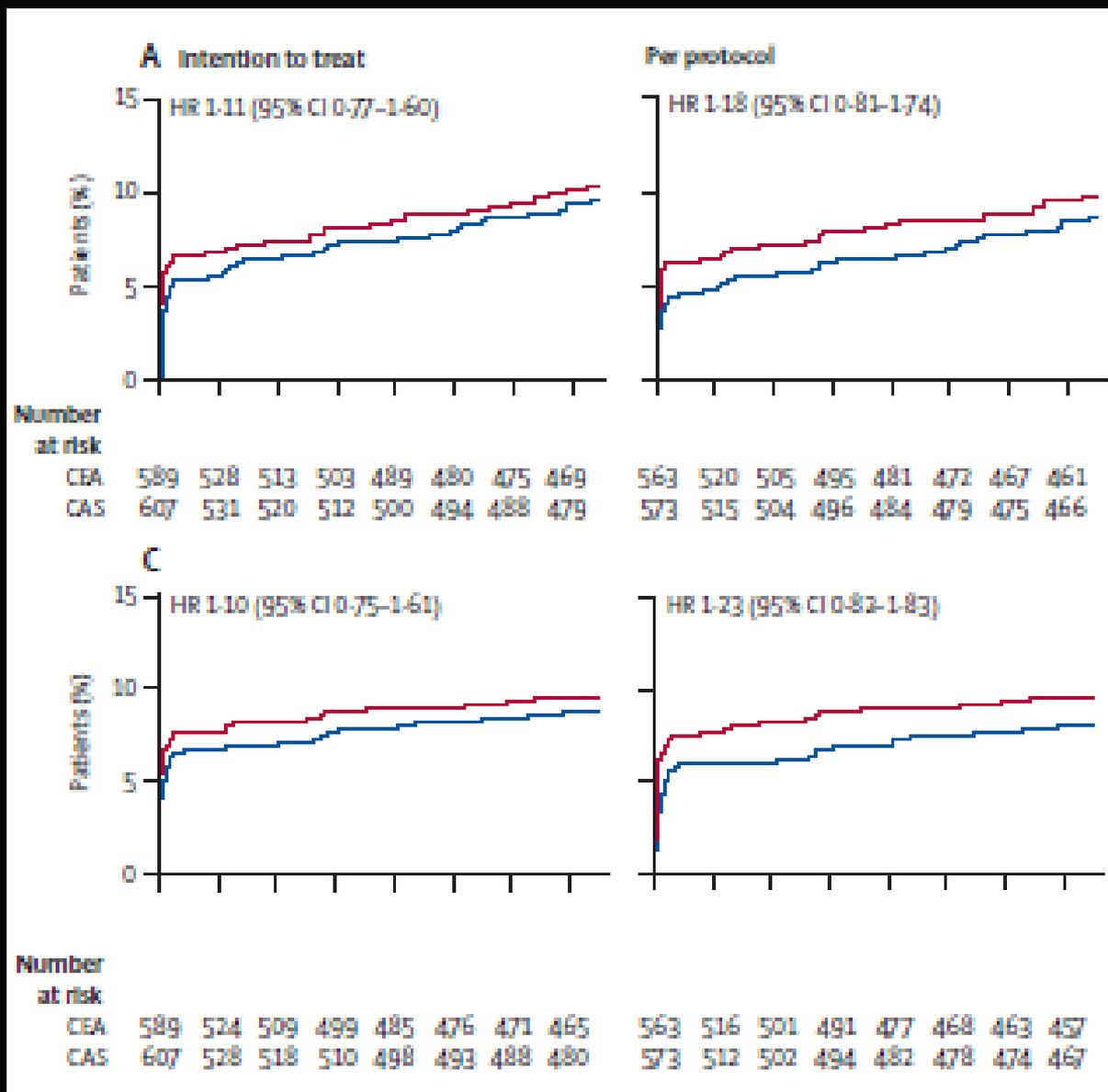
Any stroke

Any stroke or death



# Stroke prevention efficacy equal between CEA and CAS

## SPACE: K-M plots of 2-year outcomes



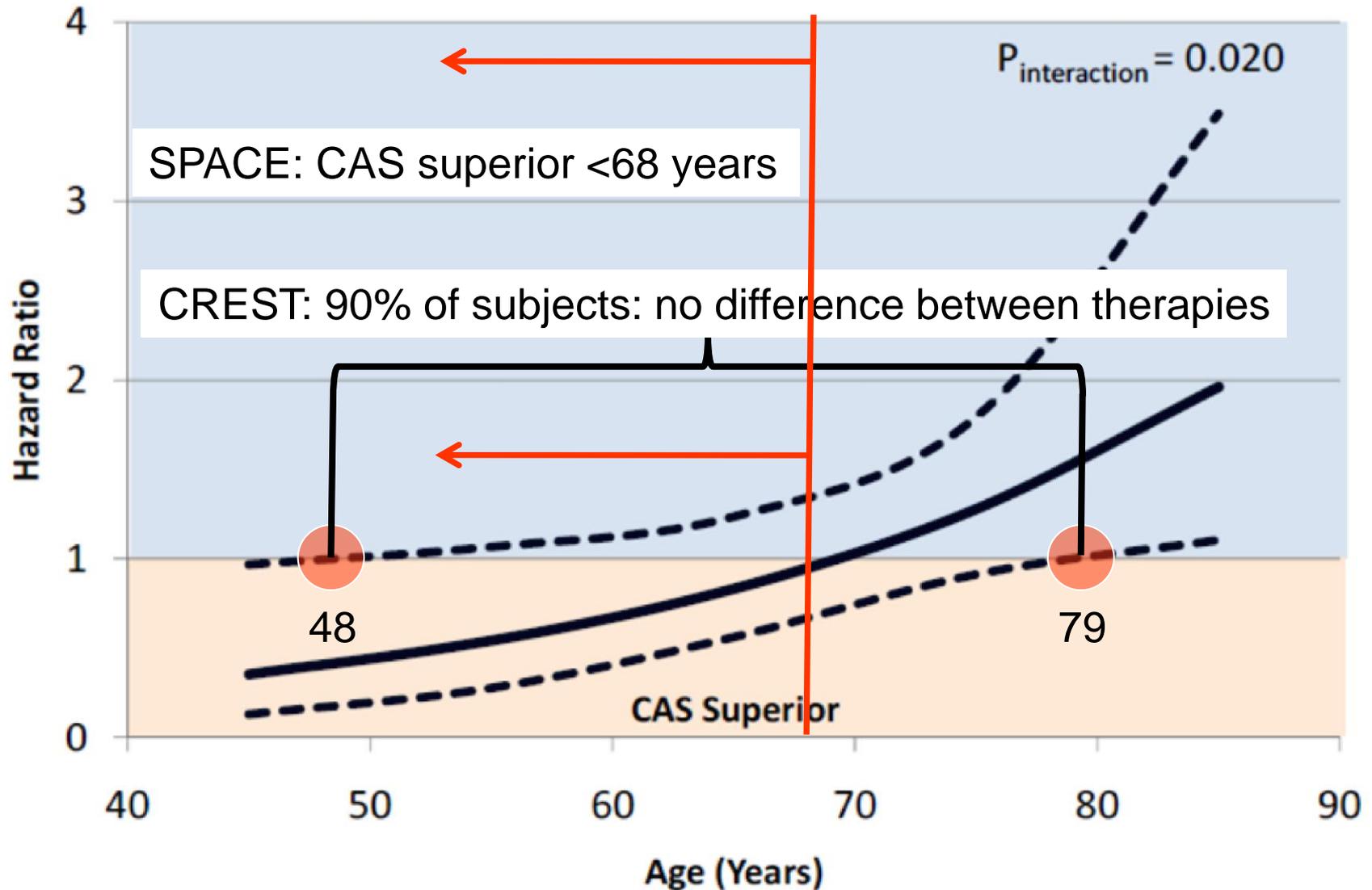
Ipsilateral stroke and vascular death

30-day stroke/death plus ipsilateral stroke to 2 years

Examine randomized data on patient cohorts favoring specific revascularization



# Age differential: Similarity among trials



# What does CREST, and other trials, tell us about CAS in standard risk patients?

- CREST

- Both CREST and EVA3S

ICS  
Sex  
Male  
Female

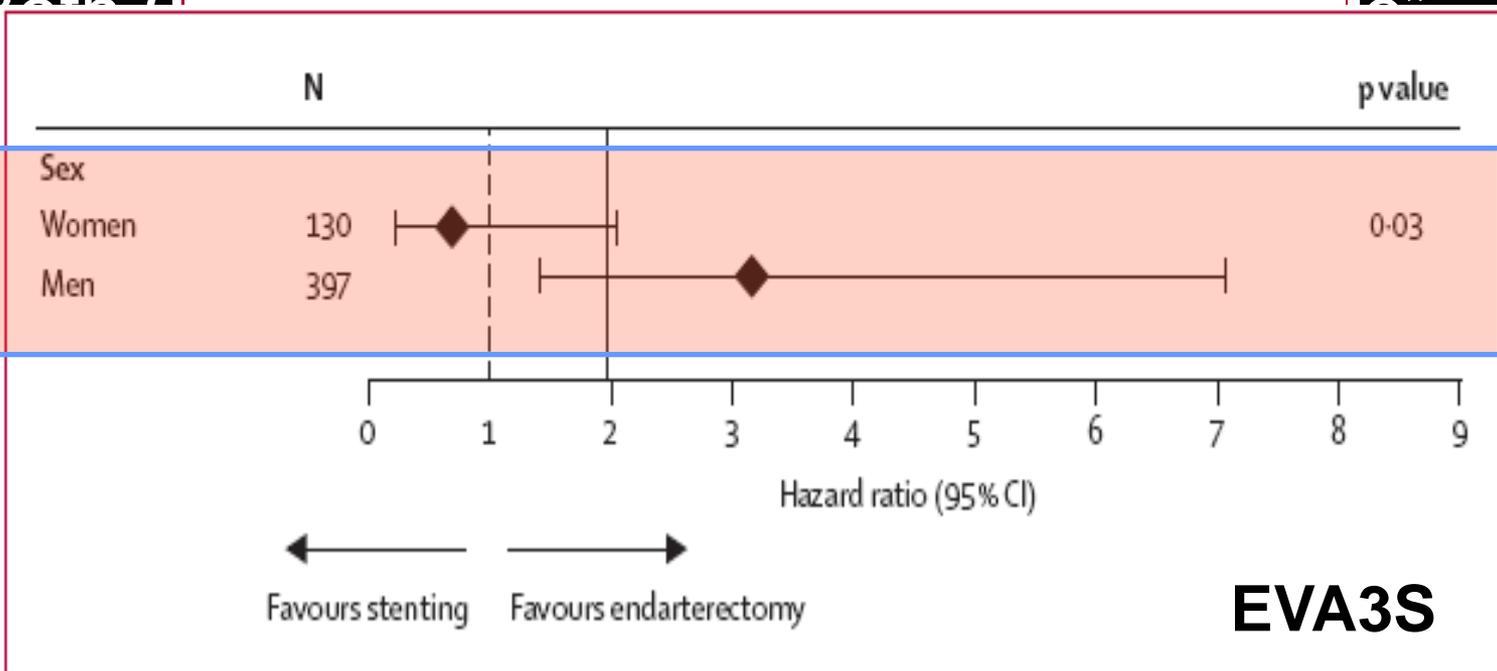


Figure 4: Sub

Figure 4: Hazard ratios (stenting vs endarterectomy) and 95% CIs for ipsilateral stroke (including periprocedural stroke or death) in various subcategories. p values are associated with treatment-covariate interaction tests. The thick vertical line is the hazard ratio (stenting vs endarterectomy) in the overall population.

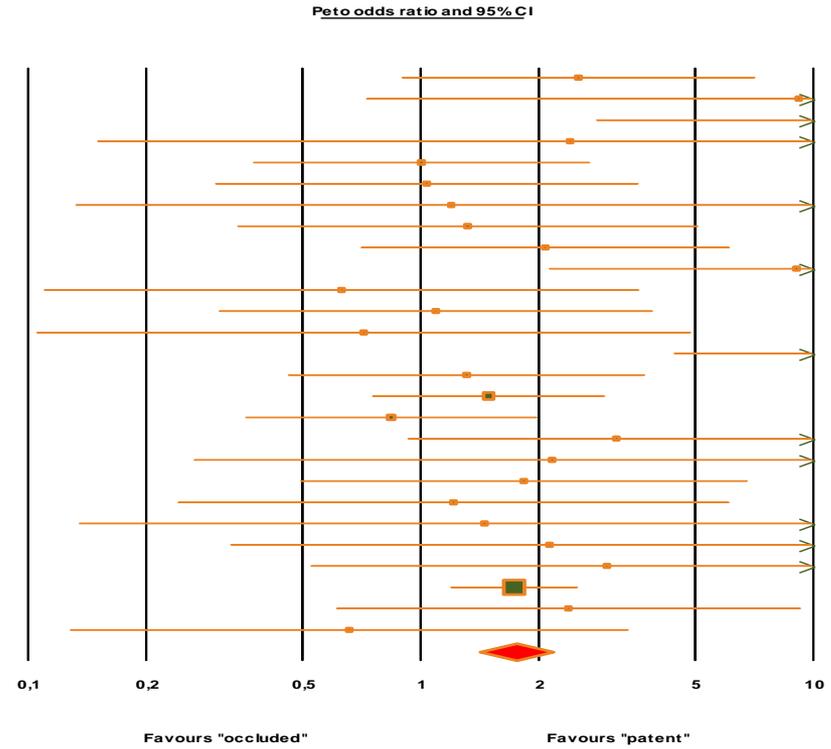
- SP

- CAS better in younger (<68 years)

# Poor outcomes with contralateral carotid occlusion and CEA

## 30-day any stroke or death after CEA with/without contralat. occlusion

| Study name      | Statistics for each study |             |             |         |         |
|-----------------|---------------------------|-------------|-------------|---------|---------|
|                 | Peto odds ratio           | Lower limit | Upper limit | Z-Value | p-Value |
| Lees 1981       | 2,523                     | 0,890       | 7,155       | 1,740   | 0,082   |
| Hertzner 1982   | 9,183                     | 0,723       | 116,664     | 1,710   | 0,087   |
| Peitzman 1982   | 32,139                    | 2,787       | 370,675     | 2,781   | 0,005   |
| Takolander 1983 | 2,404                     | 0,149       | 38,720      | 0,619   | 0,536   |
| Sachs 1984      | 1,005                     | 0,372       | 2,715       | 0,010   | 0,992   |
| Moore 1984      | 1,037                     | 0,298       | 3,611       | 0,057   | 0,954   |
| Nunn 1988       | 1,197                     | 0,131       | 10,899      | 0,159   | 0,873   |
| Mackey 1990     | 1,318                     | 0,340       | 5,118       | 0,399   | 0,690   |
| ECST 1991       | 2,077                     | 0,700       | 6,162       | 1,317   | 0,188   |
| NASCET 1991     | 9,061                     | 2,106       | 38,978      | 2,961   | 0,003   |
| Perler 1992     | 0,629                     | 0,109       | 3,626       | -0,519  | 0,604   |
| Mattos 1992     | 1,093                     | 0,305       | 3,923       | 0,137   | 0,891   |
| Jansen 1993     | 0,716                     | 0,104       | 4,909       | -0,340  | 0,734   |
| Sandmann 1993   | 17,782                    | 4,385       | 72,108      | 4,029   | 0,000   |
| Goldstein 1994  | 1,310                     | 0,457       | 3,753       | 0,503   | 0,615   |
| Riles 1994      | 1,489                     | 0,748       | 2,966       | 1,133   | 0,257   |
| Lacroix 1994    | 0,842                     | 0,356       | 1,994       | -0,391  | 0,696   |
| da Silva 1996   | 3,152                     | 0,921       | 10,784      | 1,829   | 0,067   |
| Aungst 1998     | 2,162                     | 0,263       | 17,793      | 0,717   | 0,473   |
| Locati 2000     | 1,832                     | 0,491       | 6,839       | 0,901   | 0,367   |
| AbuRahma 2000   | 1,212                     | 0,239       | 6,142       | 0,232   | 0,816   |
| Jordan 2002     | 1,454                     | 0,134       | 15,764      | 0,308   | 0,758   |
| Pulli 2002      | 2,130                     | 0,326       | 13,935      | 0,789   | 0,430   |
| Balotta 2002    | 2,981                     | 0,522       | 17,034      | 1,228   | 0,219   |
| Tu 2003         | 1,730                     | 1,184       | 2,529       | 2,830   | 0,005   |
| Domenig 2003    | 2,380                     | 0,605       | 9,358       | 1,241   | 0,215   |
| Grego 2005      | 0,658                     | 0,127       | 3,407       | -0,499  | 0,618   |
|                 | 1,741                     | 1,404       | 2,158       | 5,055   | 0,000   |

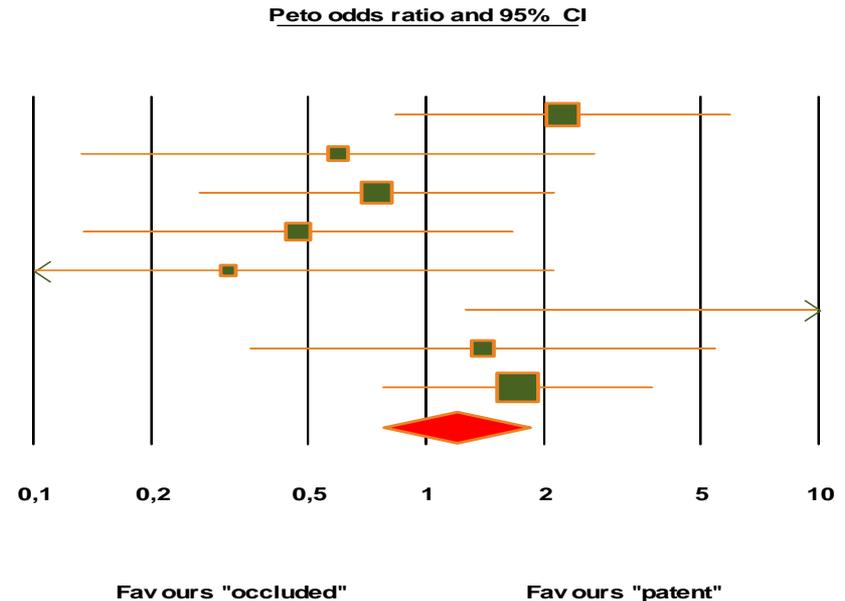


Fixed effects model, no sig. heterogeneity,  $p = 0.128$

# No differential in outcomes with contralateral carotid occlusion and CAS

## 30-day any stroke or death after CAS with/without contralat. occlusion

| Study name    | Statistics for each study |             |             |         |         |
|---------------|---------------------------|-------------|-------------|---------|---------|
|               | Peto odds ratio           | Lower limit | Upper limit | Z-Value | p-Value |
| McKevitt 2004 | 2,228                     | 0,826       | 6,011       | 1,581   | 0,114   |
| Reimers 2004  | 0,597                     | 0,131       | 2,713       | -0,668  | 0,504   |
| Sabeti 2004   | 0,749                     | 0,262       | 2,141       | -0,539  | 0,590   |
| Hofmann 2006  | 0,473                     | 0,133       | 1,681       | -1,158  | 0,247   |
| Mas 2006      | 0,314                     | 0,046       | 2,136       | -1,185  | 0,236   |
| Safian 2006   | 20,697                    | 1,247       | 343,502     | 2,114   | 0,035   |
| Verzini 2006  | 1,395                     | 0,353       | 5,505       | 0,475   | 0,635   |
| White 2006    | 1,712                     | 0,769       | 3,808       | 1,317   | 0,188   |
|               | 1,189                     | 0,775       | 1,823       | 0,793   | 0,428   |



Fixed effects model, no sig. heterogeneity,  $p = 0.108$

# What doesn't CREST tell us?

- Does not address the growing opinion that “modern” medical therapy obviates the need for revascularization for primary prevention
- There are no prospective comparative data in patients with established severe asymptomatic carotid stenosis that would support this contention:
  - the data that do exist support revascularization

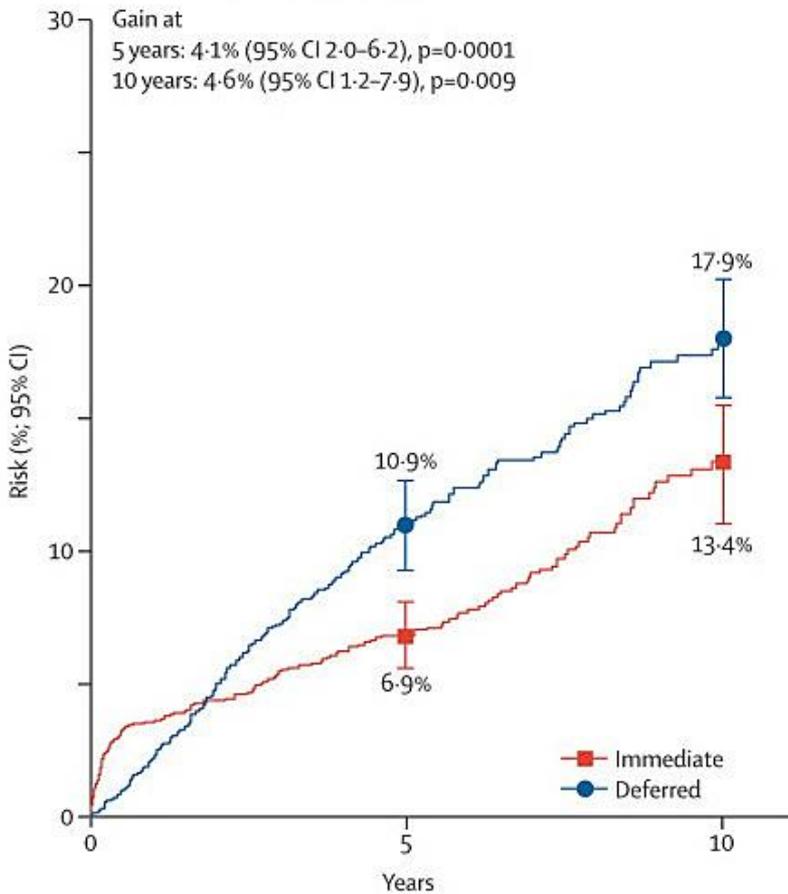


# Approach to primary prevention

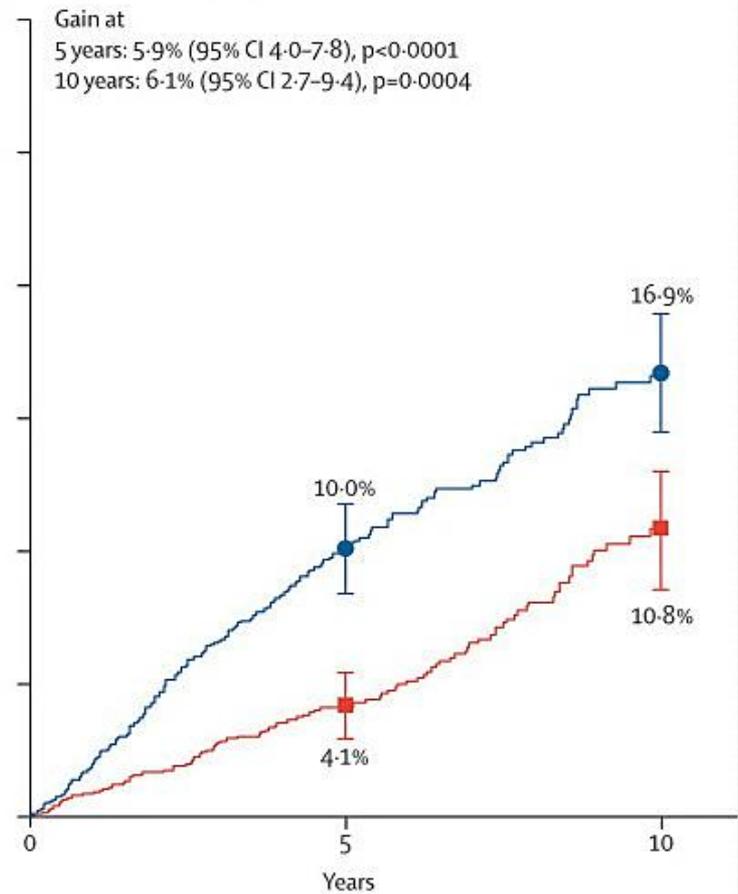


# ACST: 10 year outcomes

**A Any stroke or perioperative death**



**B Any non-perioperative stroke**



Perioperative events/CEAs (%)+other events

| Years 0-5         | Years 5-10     | Immediate | Deferred |
|-------------------|----------------|-----------|----------|
| 44/1509 (2.9%)+56 | 0/23 (0.0%)+43 |           |          |
| 14/360 (3.9%)+140 | 2/87 (2.3%)+48 |           |          |

Events/person-years

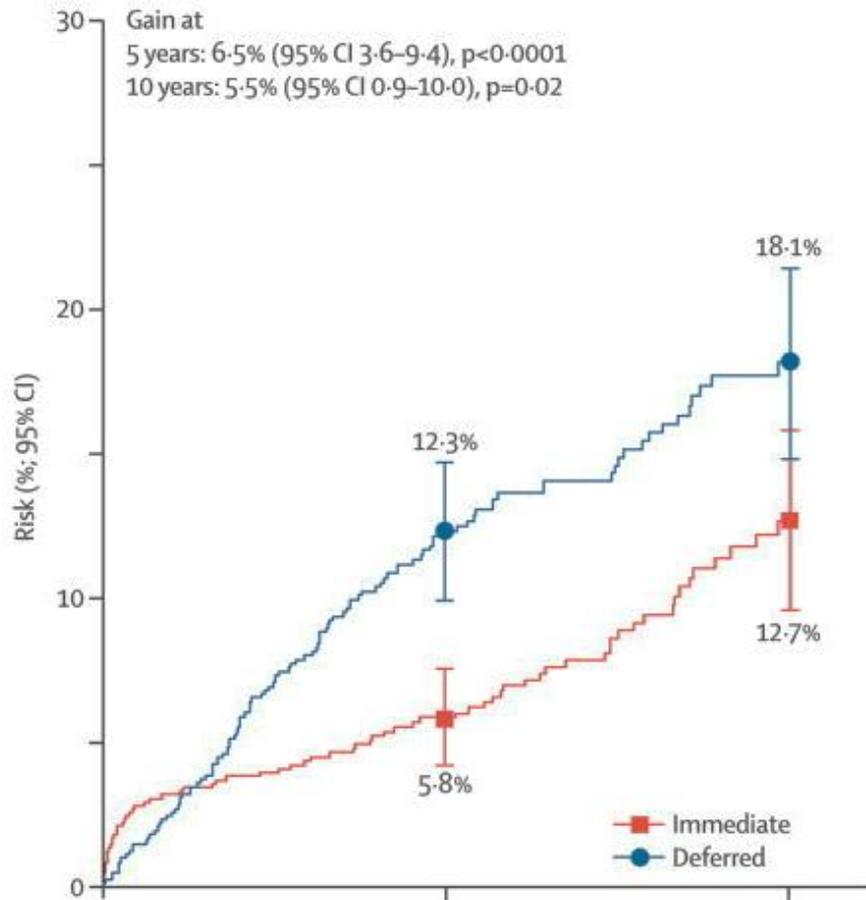
| Years 0-5          | Years 5-10        | Immediate | Deferred |
|--------------------|-------------------|-----------|----------|
| 56/6540 (0.9% py)  | 43/3042 (1.4% py) |           |          |
| 140/6553 (2.1% py) | 48/3003 (1.6% py) |           |          |

Number at risk

|           | Years 0-5 | Years 5-10 | 10 years |
|-----------|-----------|------------|----------|
| Immediate | 1560      | 1003       | 293      |
| Deferred  | 1560      | 981        | 281      |

# ACST outcomes: men

**A** Male, age <75 years: stroke or perioperative death



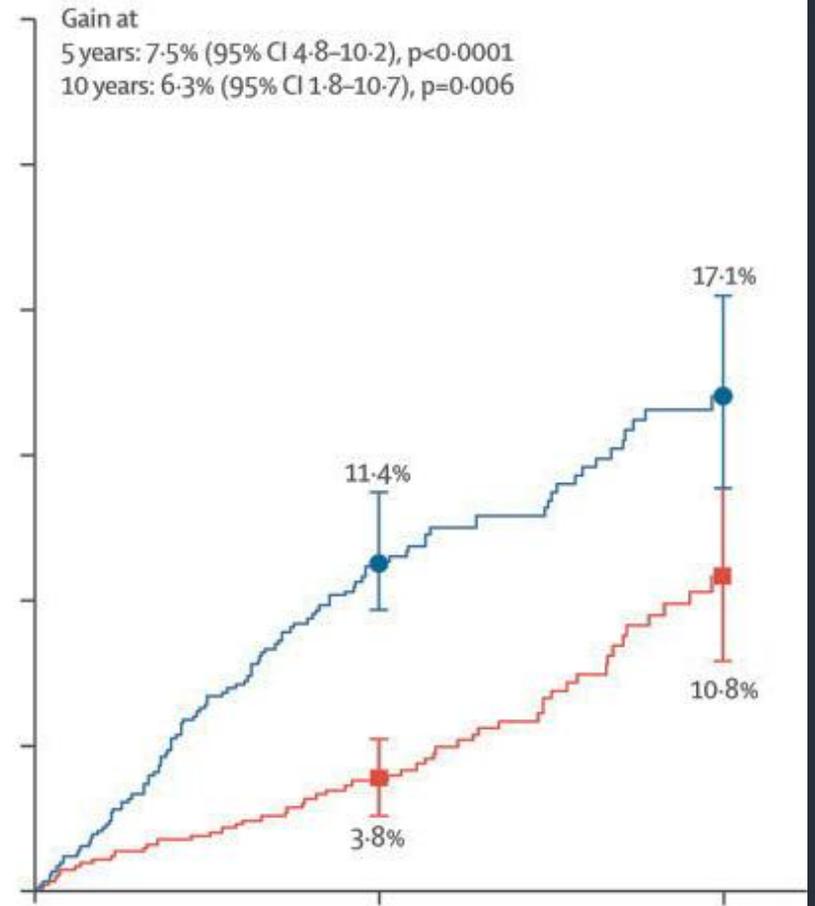
Perioperative events/CEAs (%)+other events

| Years 0-5        | Years 5-10     | Immediate | Deferred |
|------------------|----------------|-----------|----------|
| 17/810 (2.1%)+28 | 0/14 (0.0%)+25 |           |          |
| 8/204 (3.9%)+84  | 1/58 (1.7%)+21 |           |          |

at risk

|           |     |     |     |
|-----------|-----|-----|-----|
| Immediate | 825 | 559 | 169 |
| Deferred  | 826 | 514 | 162 |

**B** Male, age <75 years: non-perioperative stroke



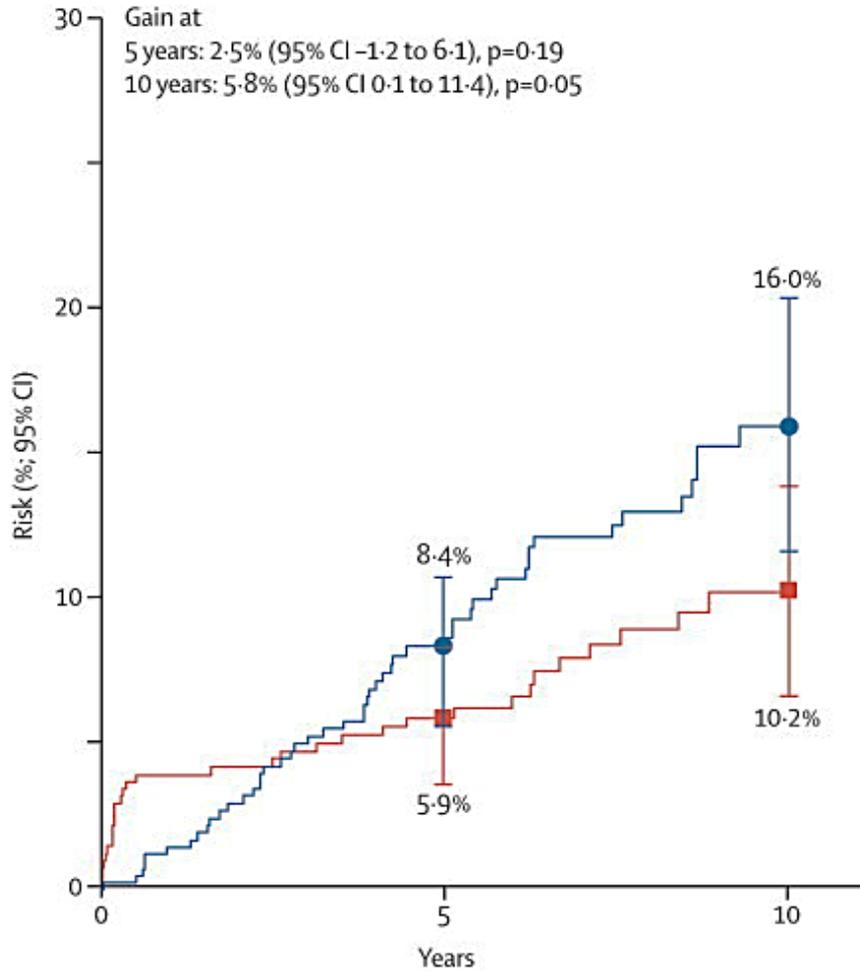
Events/person-years

| Years 0-5         | Years 5-10        | Immediate | Deferred |
|-------------------|-------------------|-----------|----------|
| 28/3524 (0.8% py) | 25/1749 (1.4% py) |           |          |
| 84/3452 (2.4% py) | 21/1611 (1.3% py) |           |          |

|     |     |     |
|-----|-----|-----|
| 825 | 559 | 169 |
| 826 | 514 | 162 |

# ACST outcomes: women

**C Female, age <75 years: stroke or perioperative death**

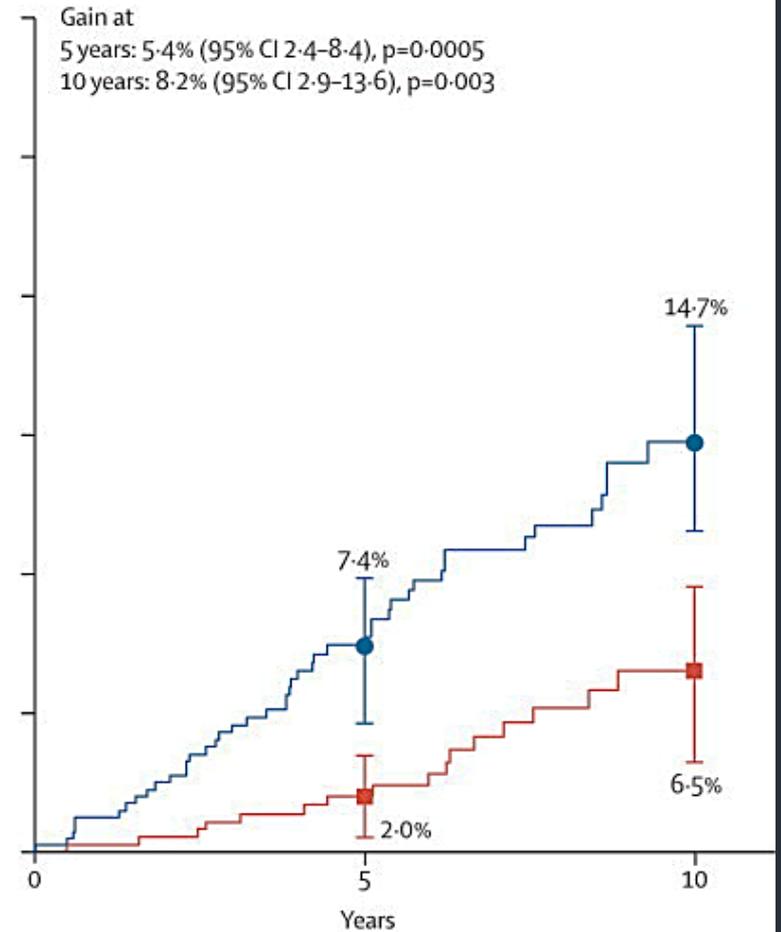


Perioperative events/CEAs (%) + other events

| Years 0-5       | Years 5-10     | Immediate | Deferred |
|-----------------|----------------|-----------|----------|
| 16/381 (4.2%)+7 | 0/9 (0.0%)+9   |           |          |
| 4/104 (3.8%)+28 | 1/22 (4.5%)+17 |           |          |

826 514 162

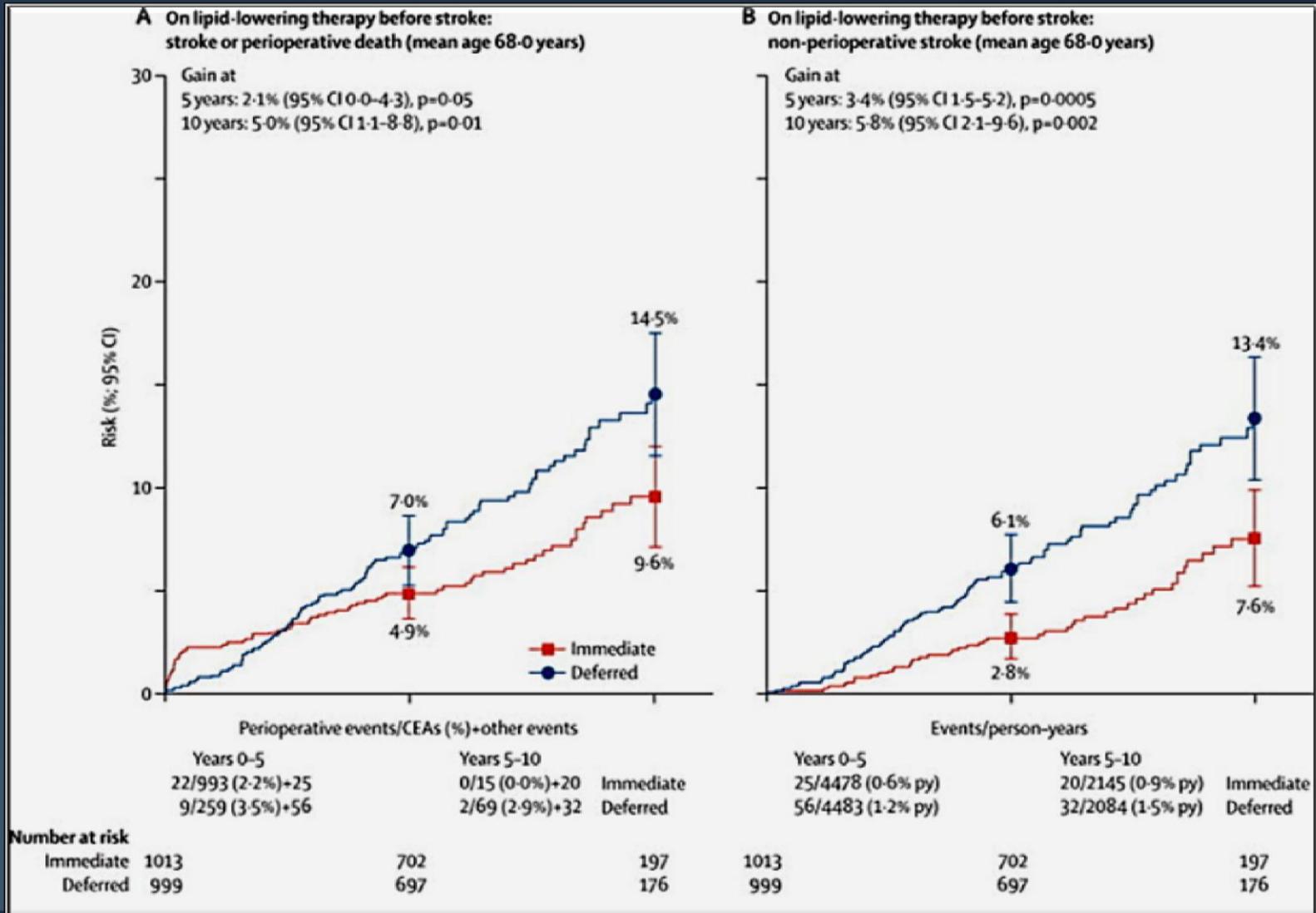
**D Female, age <75 years: non-perioperative stroke**



Events/person-years

| Years 0-5         | Years 5-10       | Immediate | Deferred |
|-------------------|------------------|-----------|----------|
| 7/1755 (0.4% py)  | 9/903 (1.0% py)  |           |          |
| 28/1821 (1.5% py) | 17/971 (1.8% py) |           |          |

# ACST 10 year data: statins



Halliday A et al. Lancet. 2010 September 25; 376(9746):  
1074-1084

# Support for medical therapy

## **Medical (Nonsurgical) Intervention Alone Is Now Best for Prevention of Stroke Associated With Asymptomatic Severe Carotid Stenosis. Results of a Systematic Review and Analysis**

Anne L. Abbott

*Stroke* published online Aug 20, 2009;

DOI: 10.1161/STROKEAHA.109.556068

DOI: 10.1161/STROKEAHA.109.556068

*Stroke* published online Aug 20, 2009;



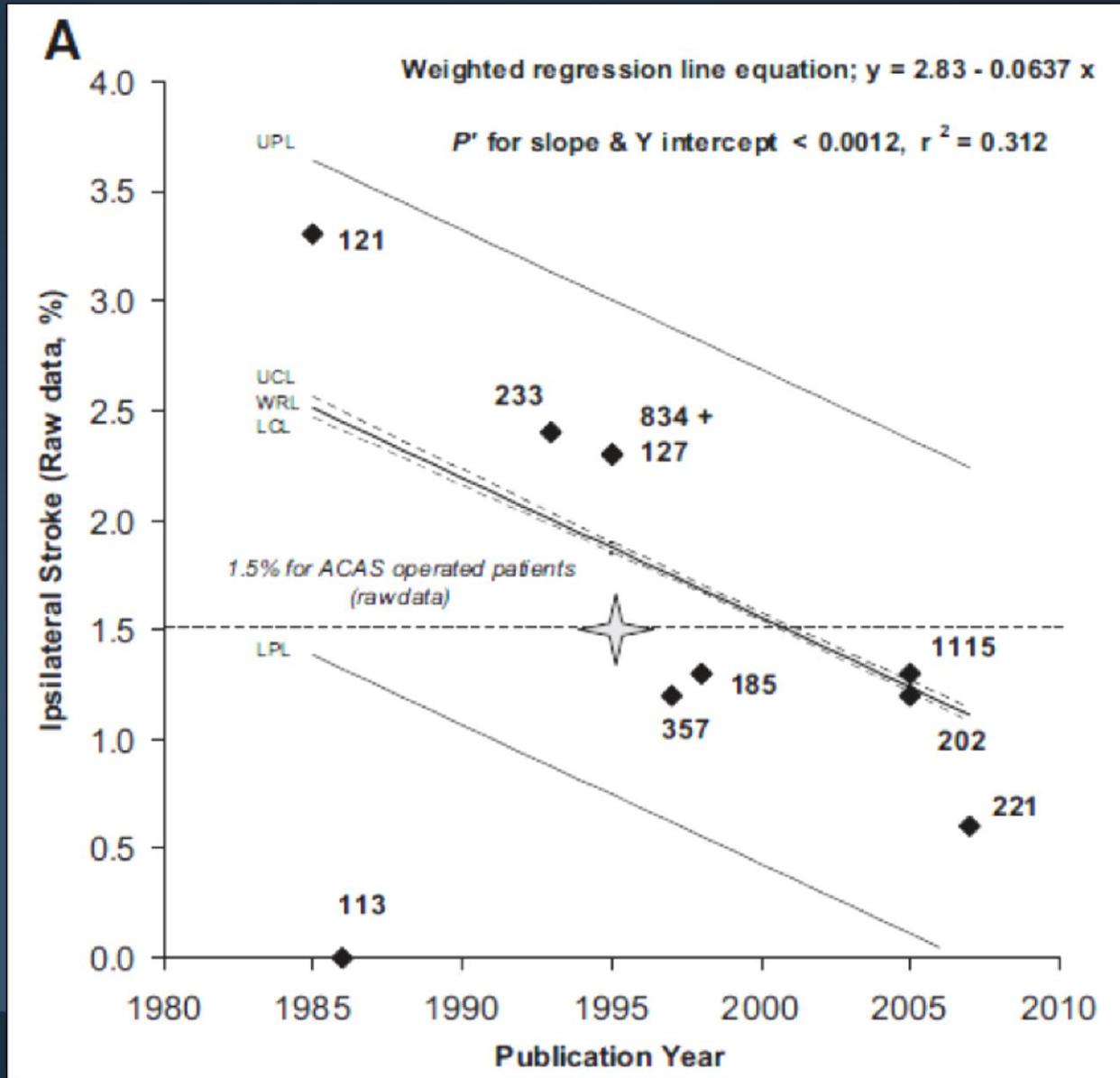
# Studies included in analysis: ACST missing

| Study                       | Sample Size | Ipsilateral Stroke |              | Ipsilateral Stroke/TIA |              | Any Territory Stroke |              | Any Territory Stroke/TIA |              |
|-----------------------------|-------------|--------------------|--------------|------------------------|--------------|----------------------|--------------|--------------------------|--------------|
|                             |             | Raw Data           | KM Estimates | Raw Data               | KM Estimates | Raw Data             | KM Estimates | Raw Data                 | KM Estimates |
| Johnson, 1985 <sup>76</sup> | 121         | 3.3                | ...          | 19.0                   | ...          | ...                  | ...          | ...                      | ...          |
| Toronto, 1986 <sup>2</sup>  | 113         | 0                  | ...          | 7.9 (all TIA)          | ...          | 1.9                  | ...          | 10.7                     | 11.0         |
| VACS, 1993 <sup>10</sup>    | 233         | 2.4                | ...          | 5.2                    | ...          | 3.0                  | ...          | 6.1                      | ...          |
| ACAS, 1995 <sup>11</sup>    | 834         | 2.3                | 2.2          | 4.5                    | 3.8          | 3.8                  | 3.5          | ...                      | ...          |
| ECST, 1995 <sup>77</sup>    | 127         | 2.3                | 1.9          | ...                    | ...          | ...                  | ...          | ...                      | ...          |
| ACBS, 1997 <sup>78</sup>    | 357         | 1.2                | 1.4          | 3.4                    | 4.2          | 2.1                  | 2.5          | 5.8                      | ...          |
| CHS, 1998 <sup>82</sup>     | 185         | 1.3                | 1.0          | ...                    | ...          | 2.6                  | 2.3          | ...                      | ...          |
| NASCET, 2000 <sup>3</sup>   | 216         | ...                | 3.2          | ...                    | ...          | ...                  | ...          | ...                      | ...          |
| ACSRS, 2005 <sup>79</sup>   | 1115        | 1.3                | 1.7          | 3.1                    | 3.4          | ...                  | 2.1          | ...                      | 4.1          |
| ASED, 2005 <sup>80</sup>    | 202         | 1.2                | 1.0          | 3.2                    | 3.1          | 2.4                  | 2.2          | 5.6                      | 5.1          |
| SMART, 2007 <sup>81</sup>   | 221         | 0.6                | ...          | ...                    | ...          | 0.7                  | ...          | ...                      | ...          |

|                           |      |     |     |     |     |     |     |     |     |
|---------------------------|------|-----|-----|-----|-----|-----|-----|-----|-----|
| SMART, 2007 <sup>81</sup> | 221  | 0.6 | ... | ... | ... | 0.7 | ... | ... | ... |
| ASED, 2005 <sup>80</sup>  | 202  | 1.2 | 1.0 | 3.2 | 3.1 | 2.4 | 2.2 | 5.6 | 5.1 |
| ACSRS, 2005 <sup>79</sup> | 1115 | 1.3 | 1.7 | 3.1 | 3.4 | ... | 2.1 | ... | 4.1 |
| NASCET, 2000 <sup>3</sup> | 216  | ... | 3.2 | ... | ... | ... | ... | ... | ... |



# Proposed trends in medical outcomes



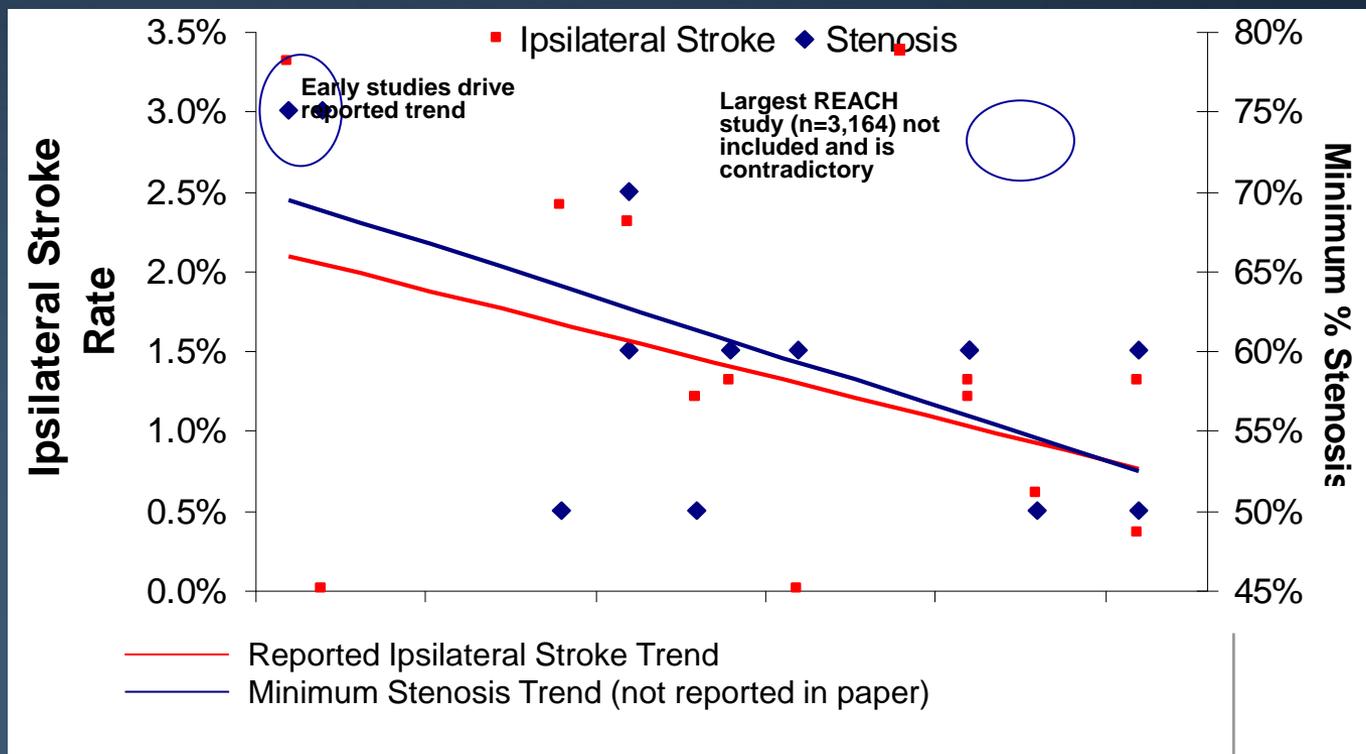
# Documentation medical therapy

|                                      | Johnson <sup>76</sup> | Toronto <sup>2</sup> | VACS <sup>10</sup> | ACAS <sup>11</sup> | ECST <sup>77</sup> | ACBS <sup>78</sup> | CHS <sup>82</sup> | NASCET <sup>3</sup> | ACRS <sup>79</sup> | ASED <sup>80</sup> | SMART <sup>81</sup> |
|--------------------------------------|-----------------------|----------------------|--------------------|--------------------|--------------------|--------------------|-------------------|---------------------|--------------------|--------------------|---------------------|
| Male                                 | ...                   | 60                   | 100                | 66                 | 71                 | 40‡                | 46                | 68                  | 61                 | 68                 | 73                  |
| Mean age, y                          | ...                   | 67                   | 65                 | 67‡                | 64                 | 65‡                | 73.3              | 66                  | 70                 | 74                 | 65                  |
| Current Smoker                       | ...                   | ...                  | 49                 | 24                 | 50                 | 35‡                | 18                | 33                  | 18                 | 14                 | 42                  |
| Ever smoker                          | ...                   | 77                   | 91                 | ...                | ...                | ...                | 61                | ...                 | 71                 | 73                 | 90                  |
| Hypertension                         | ...                   | 66                   | 64                 | 64                 | 50‡                | 47‡                | 71                | 60                  | 63                 | 72                 | ...                 |
| Ischemic heart disease               | ...                   | 77                   | 57                 | 69                 | 33                 | 39‡                | 38                | 36                  | 34                 | 52                 | 59                  |
| High cholesterol                     | ...                   | 32                   | ...                | ...                | ...                | 50‡                | ...               | 32‡                 | 60                 | 67                 | ...                 |
| Diabetes                             | ...                   | 14                   | 27                 | 21                 | 14                 | 20‡                | 26                | 22                  | 21                 | 17                 | 21                  |
| Atrial arrhythmia                    | ...                   | ...                  | 14                 | ...                | ...                | 0                  | 4                 | 0                   | 3                  | 0                  | ...                 |
| PVD                                  | ...                   | 70                   | 59                 | ...                | 24                 | 23‡                | 8                 | 15‡                 | 40                 | 33                 | 45                  |
| Nonipsilateral stroke/TIA            | 0                     | 0                    | 33                 | 27                 | 100                | 0                  | 0                 | 100                 | 20                 | 42                 | 0                   |
| Antiplatelet therapy‡                | 0                     | ≤51                  | 100*               | 100*               | 56‡                | 50                 | about 0           | 95%‡                | 84                 | 88                 | 63                  |
| Antilipid therapy                    | ...                   | ...                  | ...                | ...                | ...                | ...                | 10                | ...                 | 25                 | 75D                | 45                  |
| Antihypertension therapy             | ...                   | ...                  | ...                | ...                | ...                | ...                | 62                | ...                 | 60                 | 77D                | 63                  |
| Other known embolic sources excluded | no                    | no                   | no                 | no                 | yes                | yes                | no                | yes                 | no                 | yes                | no                  |



# Differences In Study Populations from the Systematic Review\* Drive Its Conclusions

*The Change in Minimum Stenosis Thresholds in Studies Over Time Mirrors the Reported Decline In Stroke Rates*



\* Abbott AL. Medical (nonsurgical) intervention alone is now best for prevention of stroke associated with asymptomatic severe carotid stenosis: results of a systematic review and analysis. *Stroke*. 2009 Oct;40(10):e573-83.

# Trends in medical outcomes: what's missing

- Knowledge as to the correct “cocktail” of medication class, specific to carotid-related targets
- Measures of compliance and side effect issues
- Randomized data showing equivalence or superiority to revascularization in *asymptomatic severe carotid stenosis*



# The Carotid “Prescription”

- ASA 81 mg/d
  - No role for dual antiplatelet therapy for stroke “prevention”
- Antihypertensive Therapy
  - Angiotensin Converting Enzyme Inhibitor
  - Angiotensin Receptor Antagonist
- Lipid Lowering Therapy
  - LDL-Cholesterol <100 mg/dL
- Tobacco Cessation
- Glycemic Control (HbA1C <7.0%)



# Conclusion

- Secondary prevention:
  - Patients with symptomatic disease should undergo revascularization ASAP following a TIA or non-disabling stroke.
- CREST definitively established both CEA and CAS as safe and effective revascularization options for patients requiring primary or secondary prevention
- Medical therapy for asymptomatic patients may have improved to a point where equipoise exists and a trial vs. revascularization is appropriate

